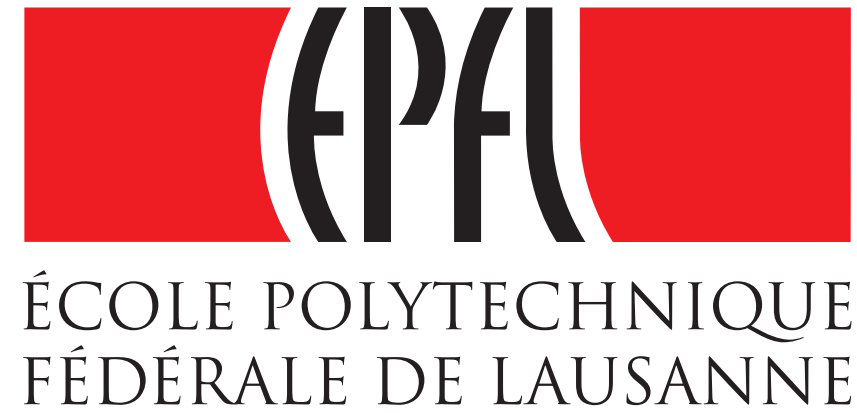
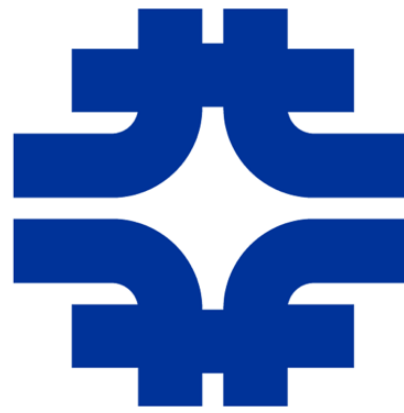
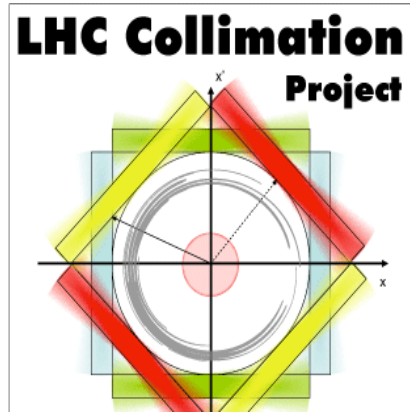
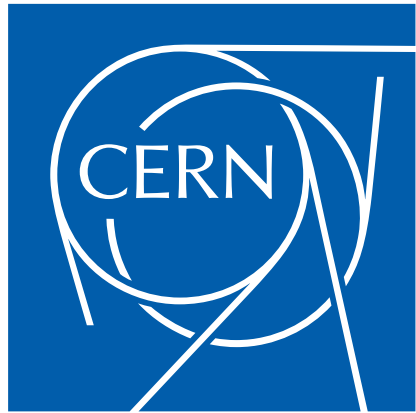


Vince Moens, 11.04.2013



# Experimental and numerical studies on the proposed application of hollow electron beam collimation for the LHC at CERN

## Author:

- Vince Moens, EPFL, Lausanne, CH

## Supervisors:

- Dr. Giulio Stancari, Fermilab, Illinois, USA
- Dr. Stefano Redaelli, CERN, Geneva, CH

## Thesis Director:

- Prof. Leonid Rivkin, EPFL, Lausanne, CH



# Personal Introduction

Vince Moens

Semester thesis 2012 @ CERN

“A quantitative comparison of the transverse damping and tune resonance crossing loss map techniques at the LHC”

Master thesis 2013 @ CERN & Fermilab



# Table of Contents

## Introduction & Concept

- Hollow Electron Beam Collimation
- HEBC experience at Tevatron
- Focus of this work

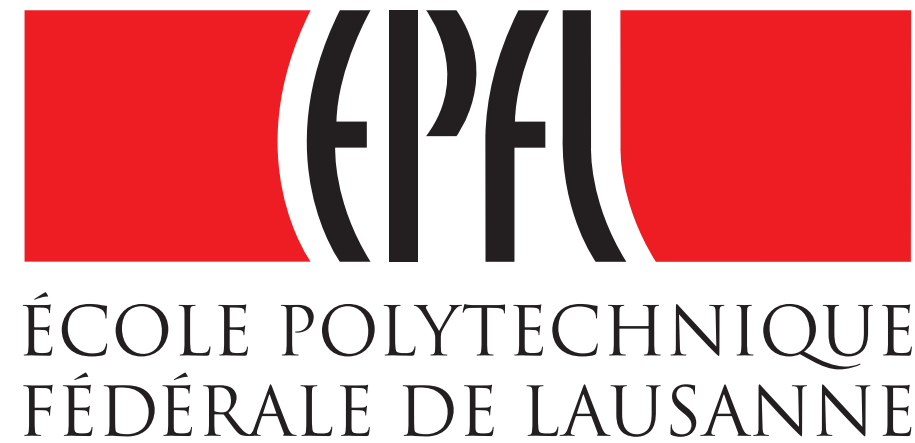
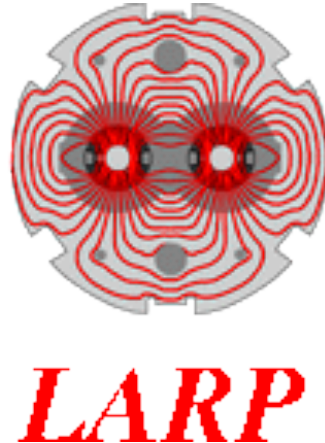
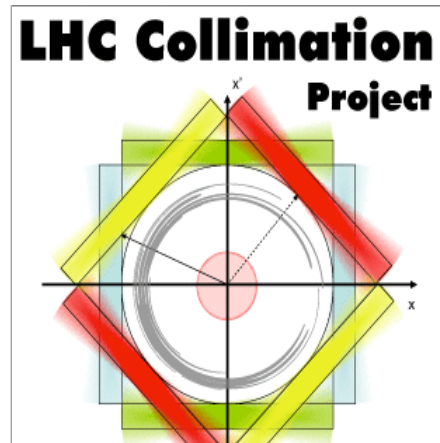
## Electron Gun & Test Stand

- 1 inch Hollow Electron Gun
- Tevatron Electron Lens Test Stand
- Thermionic Emission

## Results

- Optimal operation parameters for LHC
- Yield measurements
- Beam evolution
- Rough Upper Estimate of Emittance Growth
- 3D simulations

## Conclusions



# Introduction & Concept

- **Hollow Electron Beam Collimation**
- **HEBC experience at Tevatron**
- **Focus of this work**

*Vince Moens, 11.04.2013*

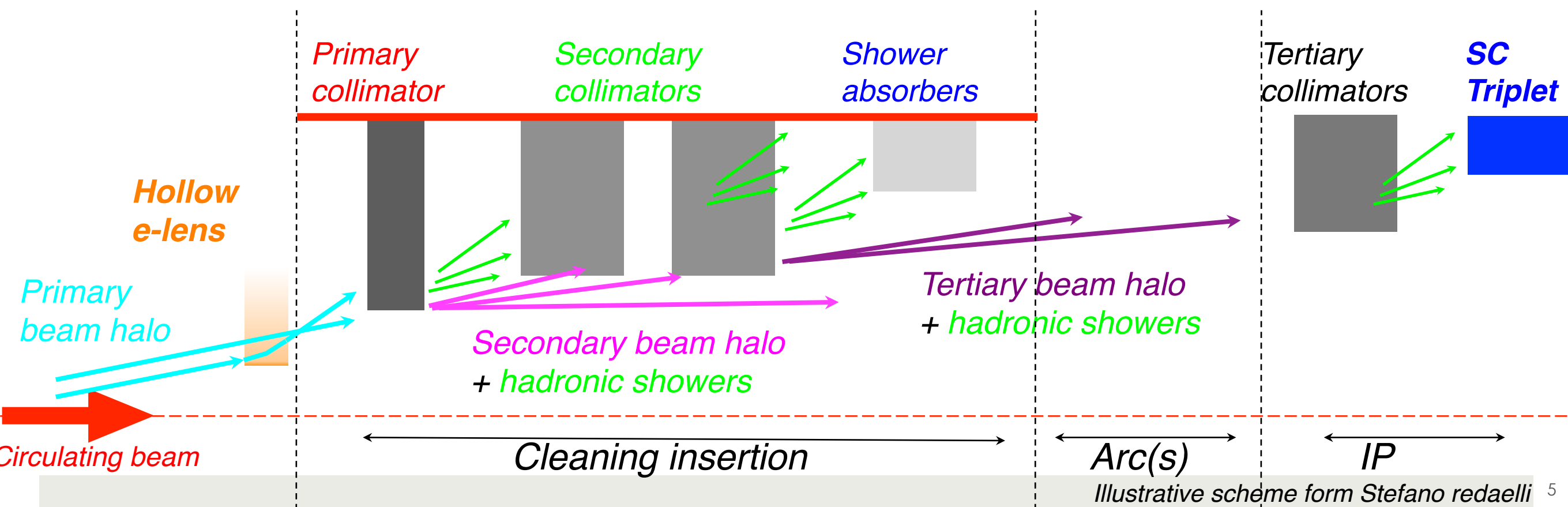
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# Hollow Electron Beam Collimation

- Hollow electron beam studies for LHC collimation part of US-LARP since 2009:

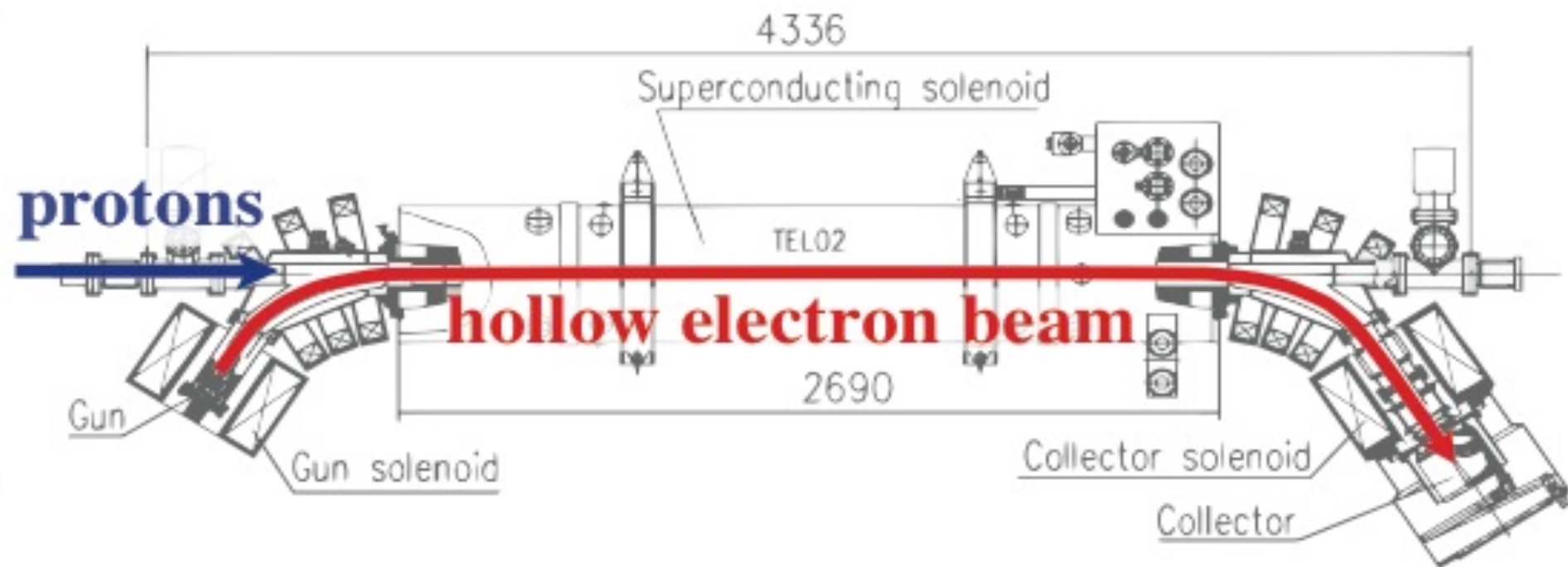
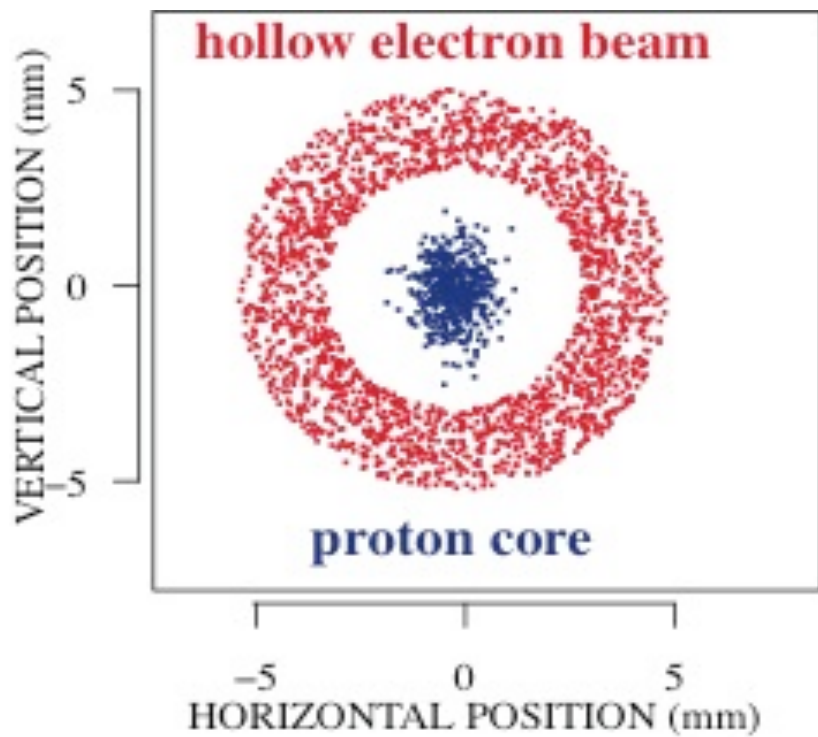
**Hollow Electron Beam Collimation is the enhancement of diffusion of halo particles through the use of the transverse electric fields of a hollow electron beam.**

- Technique aimed at improving current system (hierarchy remains)
  - Fully compatible with present and future systems
- Installation point is flexible

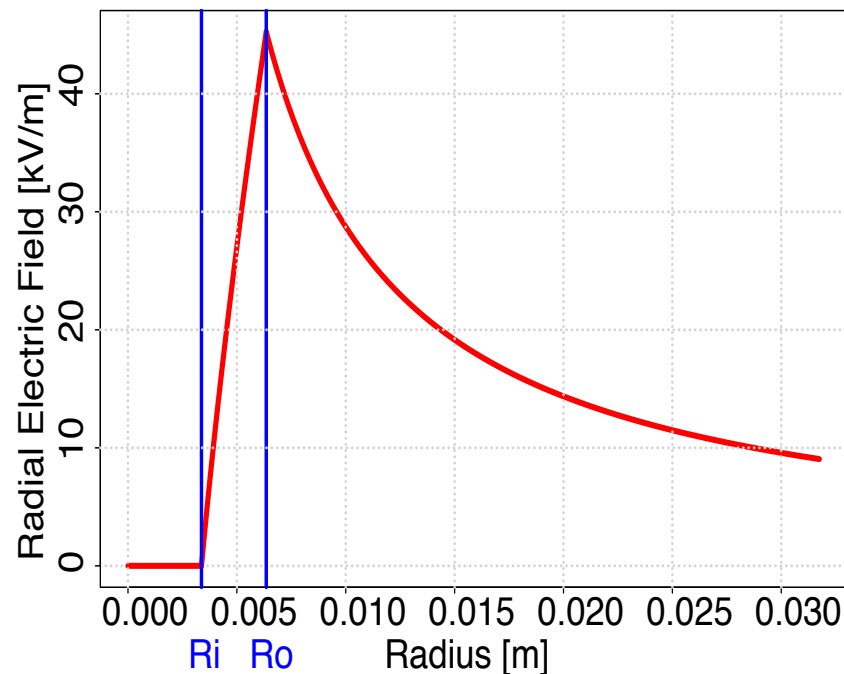
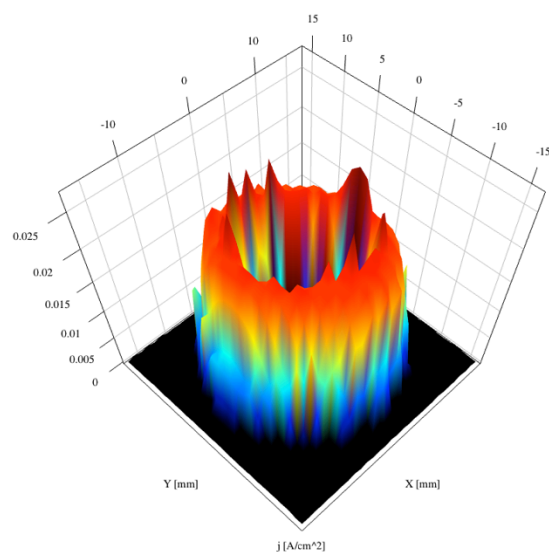


# Hollow Electron Beam Collimation

- Align hollow electron beam coaxial with beam core

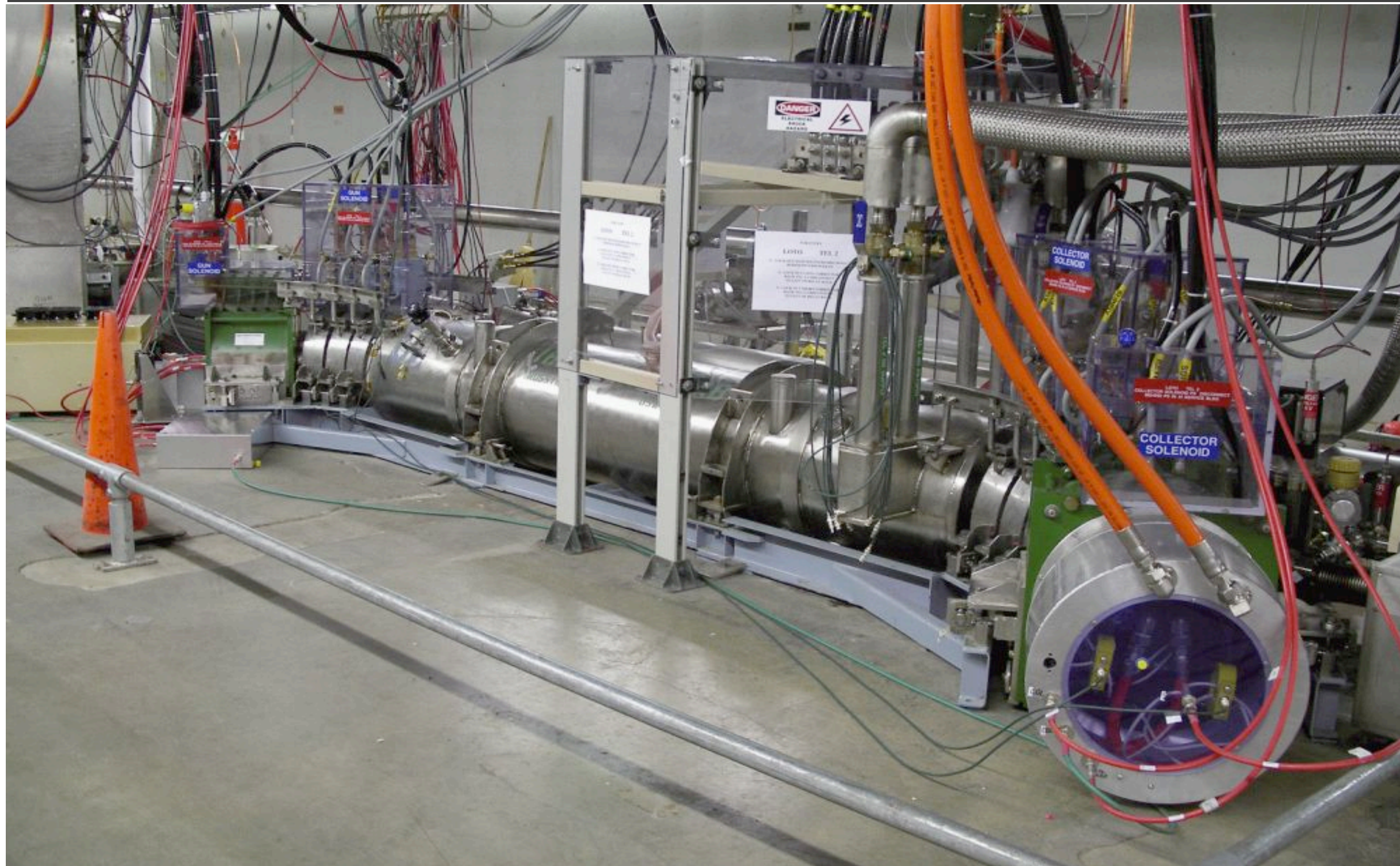


- Hollow electron beam creates transverse electric field



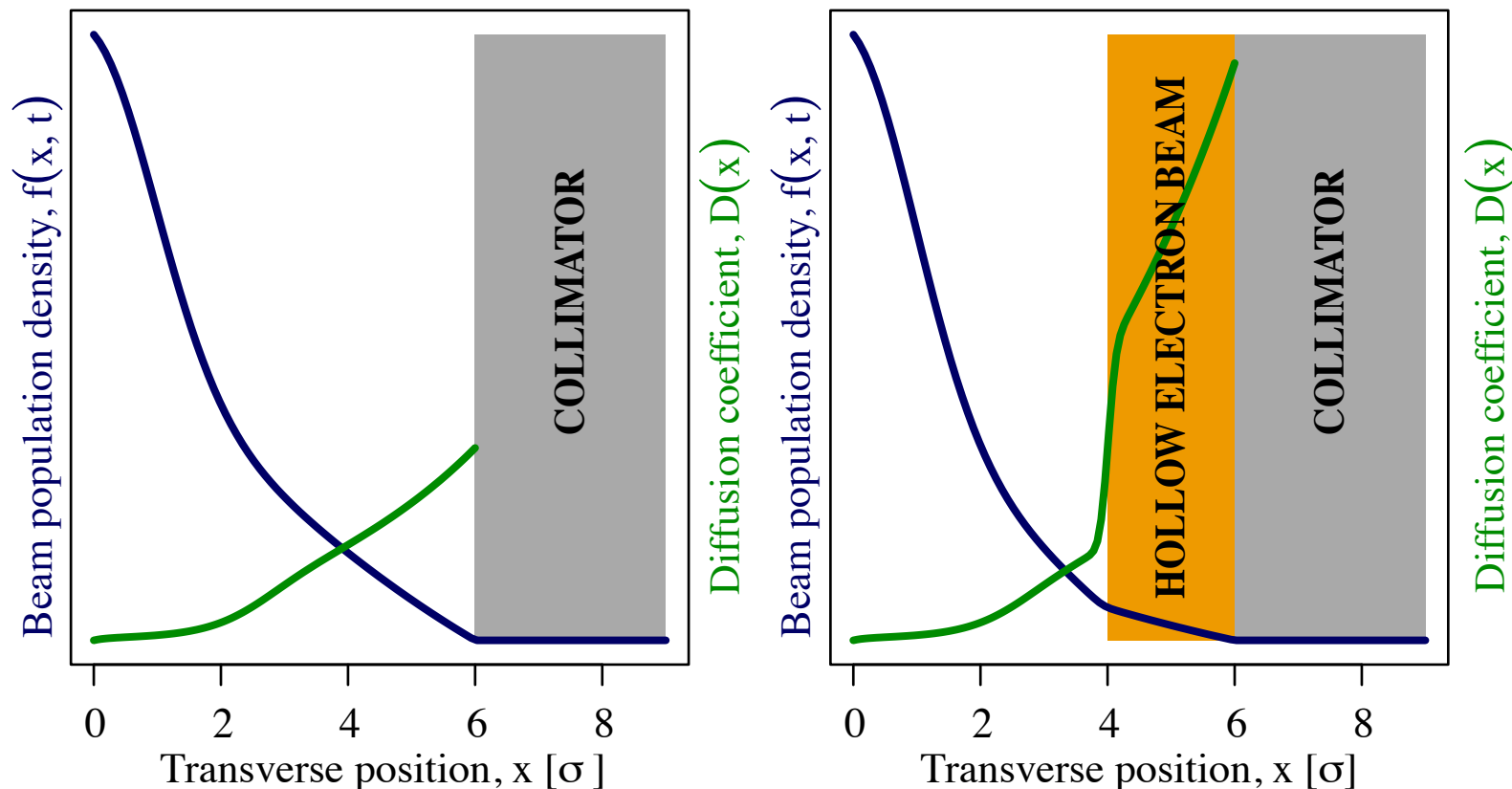
$$\begin{aligned}
 r \leq R_o & \quad E = 0 \\
 R_i \geq r \leq R_o & \quad E(r) \propto r \\
 R_o \geq r & \quad E(r) \propto \frac{1}{r}
 \end{aligned}$$

# Tevatron Electron Lens 2



# Hollow Electron Beam Collimation

- Transverse kick on halo particles with  $R_i < r < R_o$   $\Theta = \frac{1}{2\pi\epsilon_0} \frac{I_r L (1 \pm \beta_e \beta_p)}{r \beta_e \beta_p c^2 (B\rho)_p}$
- Enhances diffusion rate of halo particles -> Deplete tails



- Actual cleaning done by standard collimators
  - HEBC creates buffer zone between core and collimators
  - Control over when tails are cleaned
  - HEBC tunes impact parameter slightly

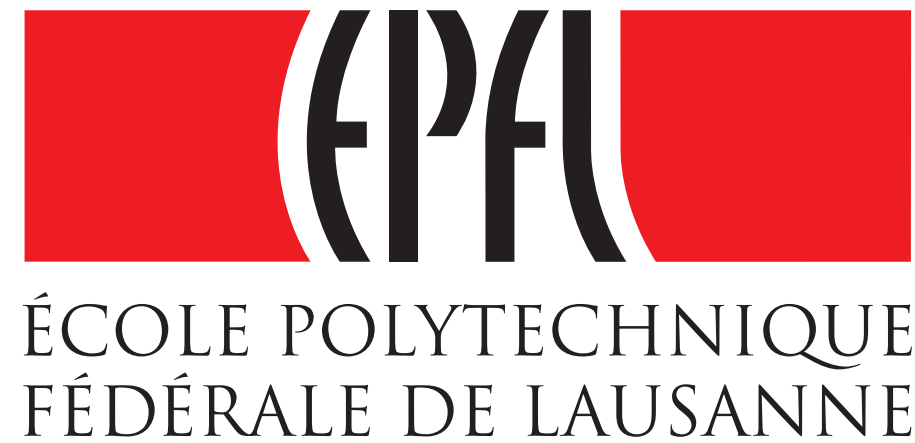
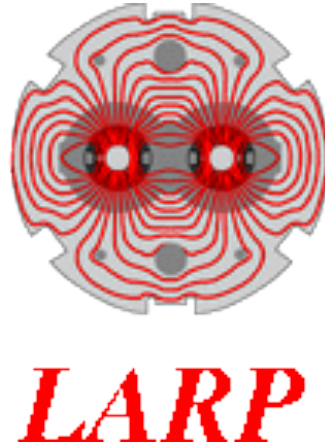
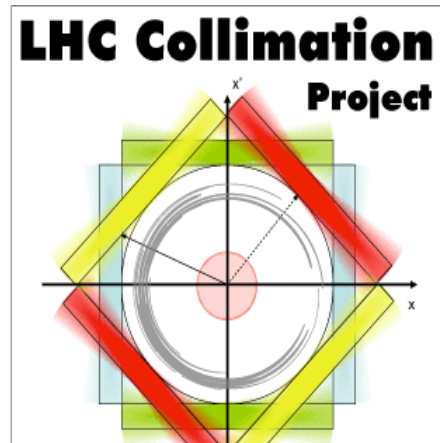


# HEBC Experience at the Tevatron

- Approx. 10 years of stable Tevatron Electron Lens operation for abort gap cleaning
- HEBC Experiments at Tevatron from 2010-2011 for LHC collimation studies
- Observations:
  - Effects on beam core were negligible
    - Curcial for luminosity production in the collider
  - Control of scraping of beam halo possible
  - Loss-spike fluctuations due to beam jitter reduced
- Rely on Tevatron experience
- 2 new designs for LHC
  - Implementation studies
  - Conceptual Design Report (November 2013)

# Focus of this work

- My task: Characterizes a new 1-inch Hollow Electron Gun (HG1b) for use at LHC (1-1 implementation)
  - Optimal operation parameters
  - Yield studies
  - Beam evolution
  - Transverse fields
- Completed first full 3D simulations of HG1b in Tevatron electron lens test stand
- Input for Conceptual Design Report in November 2013



# Electron Gun & Test Stand

- 1 inch Hollow Electron Gun
- Tevatron Electron Lens Test Stand
- Thermionic Emission

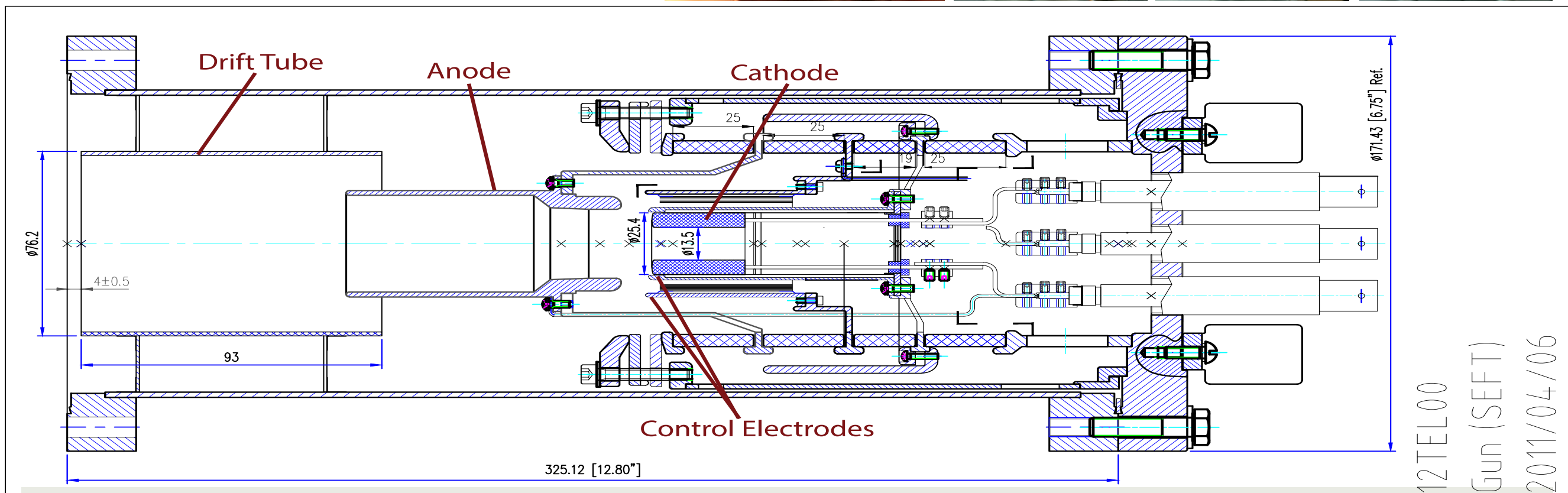
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<http://anim3d.web.cern.ch/>

# 1 inch Hollow Electron Gun (HG1b)

- Bigger gun for higher beam currents
- Hollow cathode: Tungsten impregnated with  $3\text{BaO}:1\text{CaO}:1\text{Al}_2\text{O}_3$
- $\varnothing_o = 25.4 \text{ mm}$ ,  $\varnothing_i = 13.5 \text{ mm}$

$V_{cathode}$	0.5-8 kV
$\frac{R_i}{R_o}$	0.53 mm
$I_{fill}$	9.25 A



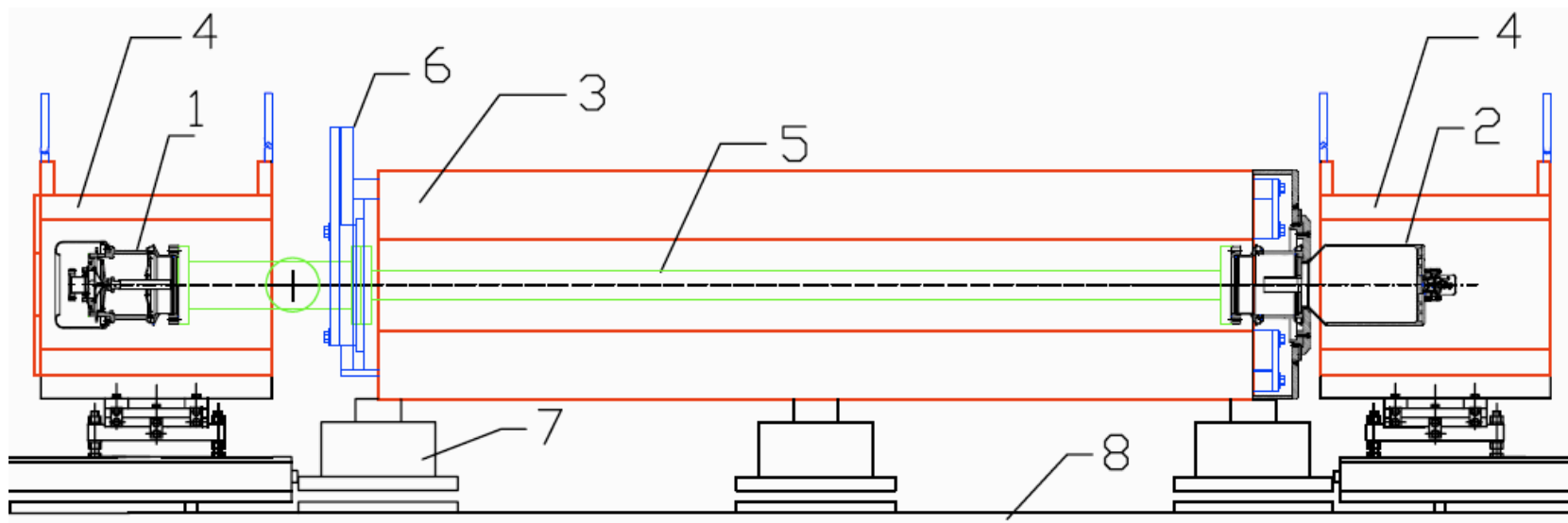
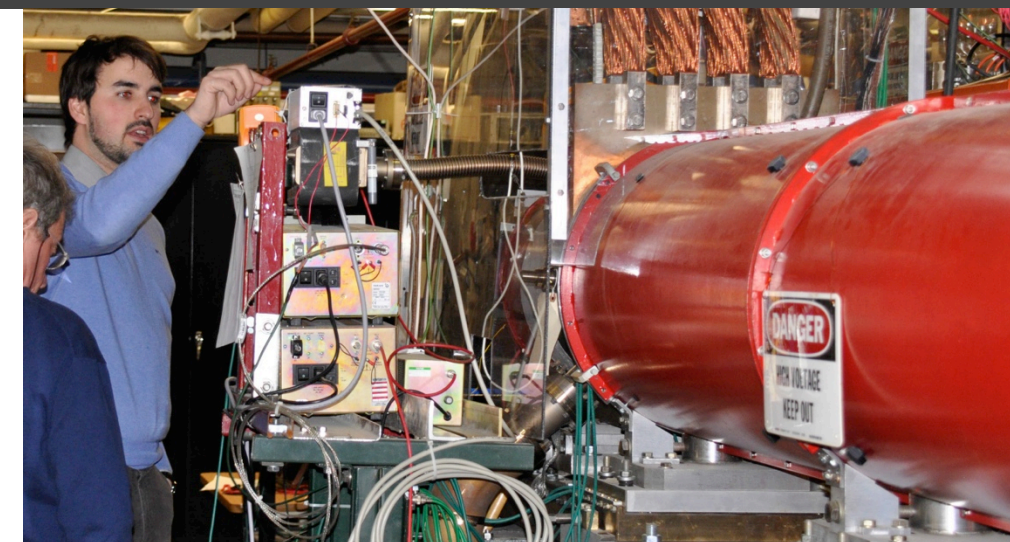
12TEL00  
Gun (SEFT)  
2011/04/06



Source: Valentina Previtali

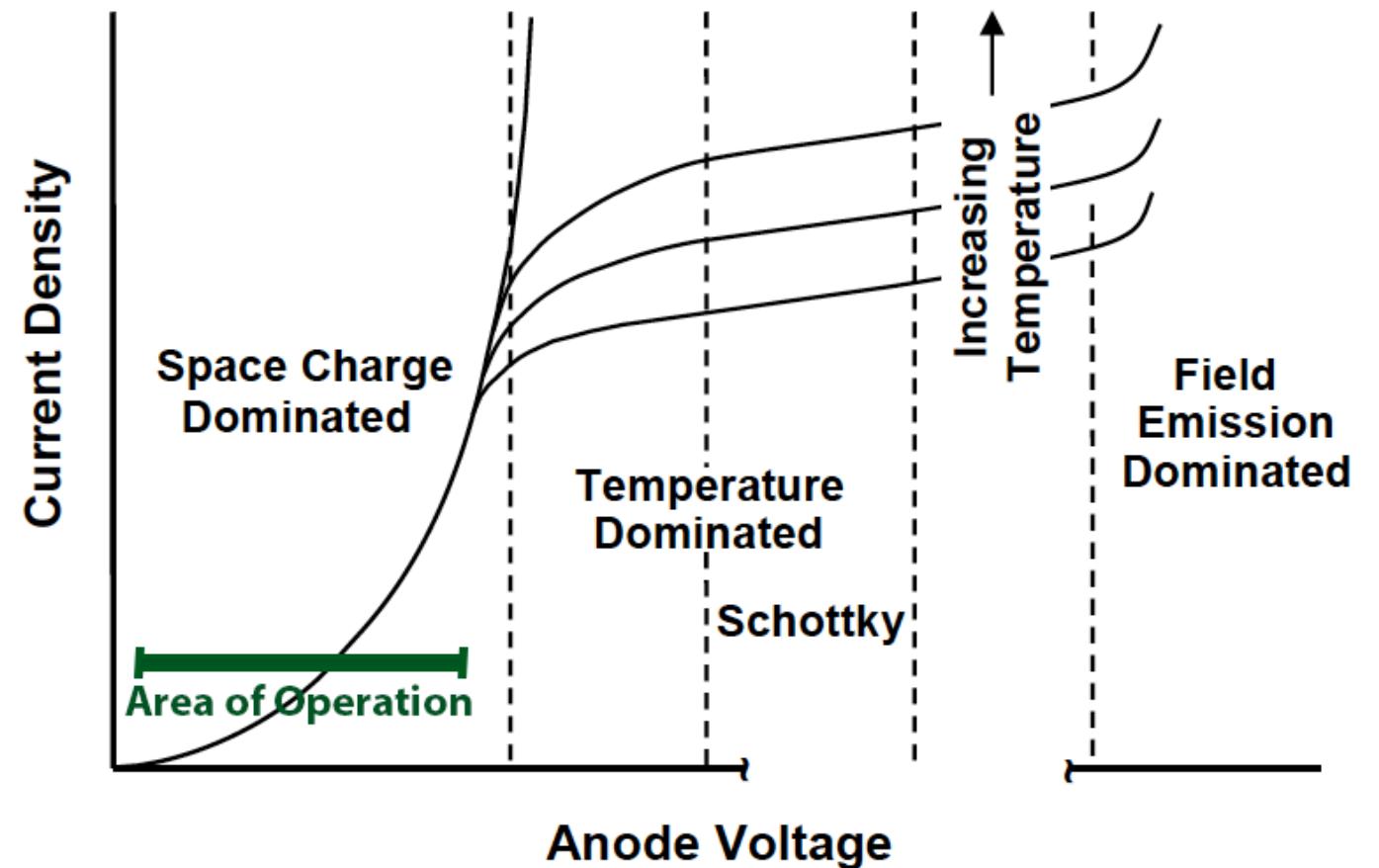
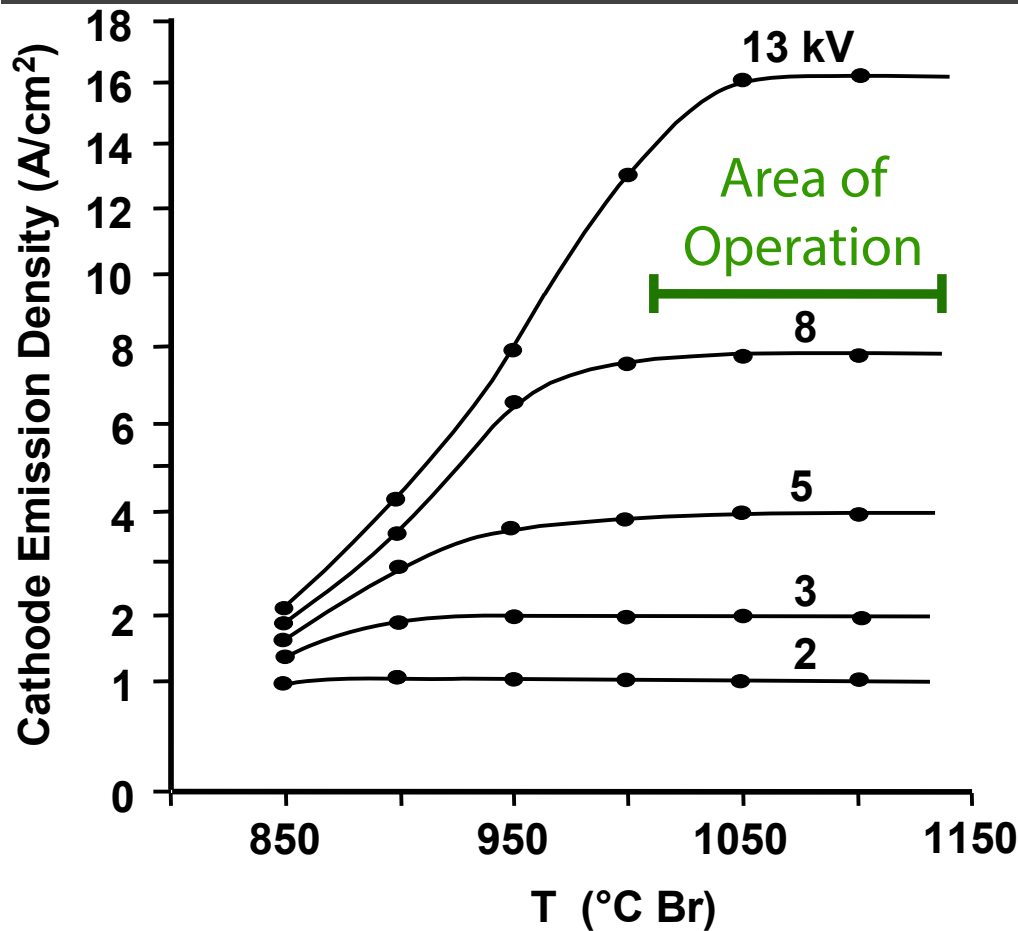
# Tevatron Electron Lens Test Stand (TELTS)

- Used for all measurements part of this work
- Data collection:
  - Pinnhole collector
  - Toroids & oscilloscope
- Similar to TEL2
  - No bends
  - No superconducting main solenoid



- |                    |                                |
|--------------------|--------------------------------|
| 1. Gun             | 5. Beam tube                   |
| 2. Collector       | 6. Magnetic Corrector controls |
| 3. Main Solenoid   |                                |
| 4. Small Solenoids |                                |

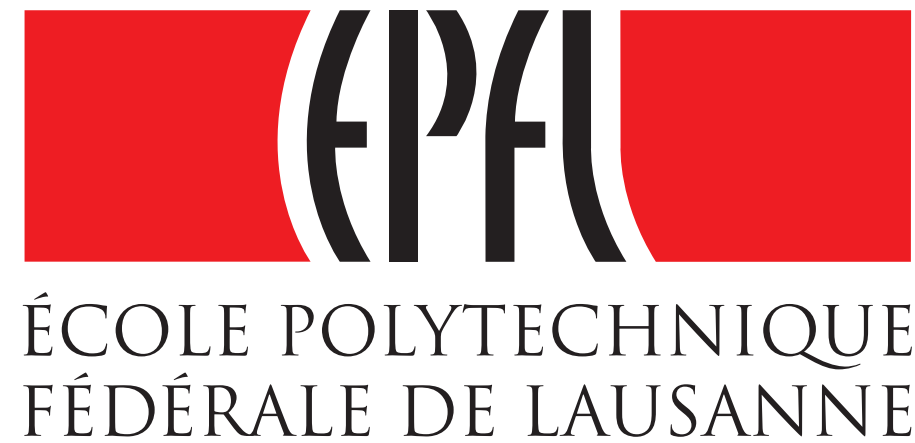
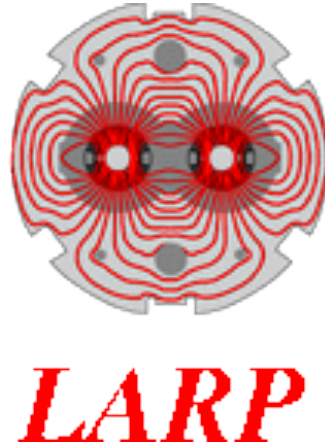
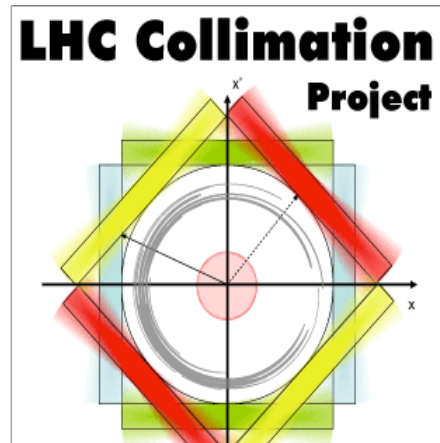
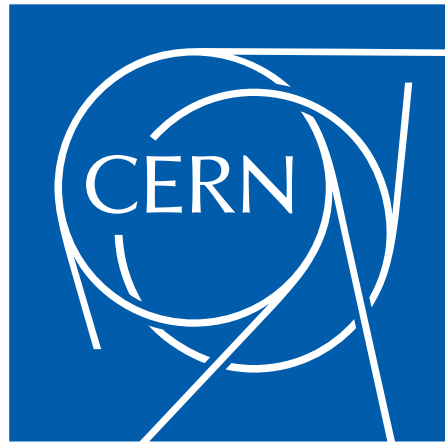
# Thermionic Emission



- Aim: Operate in Space Charge Limited Emission Regime
- Emission type depends on filament current and cathode potential
- Space Charge Limited Emission:

$$I_{beam} = PV_a^{\frac{3}{2}} = 1.67 \times 10^{-3} \pi \left( \frac{q}{mc^2} \right)^{\frac{1}{2}} \frac{V_a^{\frac{3}{2}}}{d^2} (r_{ext}^2 - r_{int}^2) \quad [A]$$

- Yield measured in perv:  $P = \frac{I}{V_a^{\frac{3}{2}}} \quad [perv]$



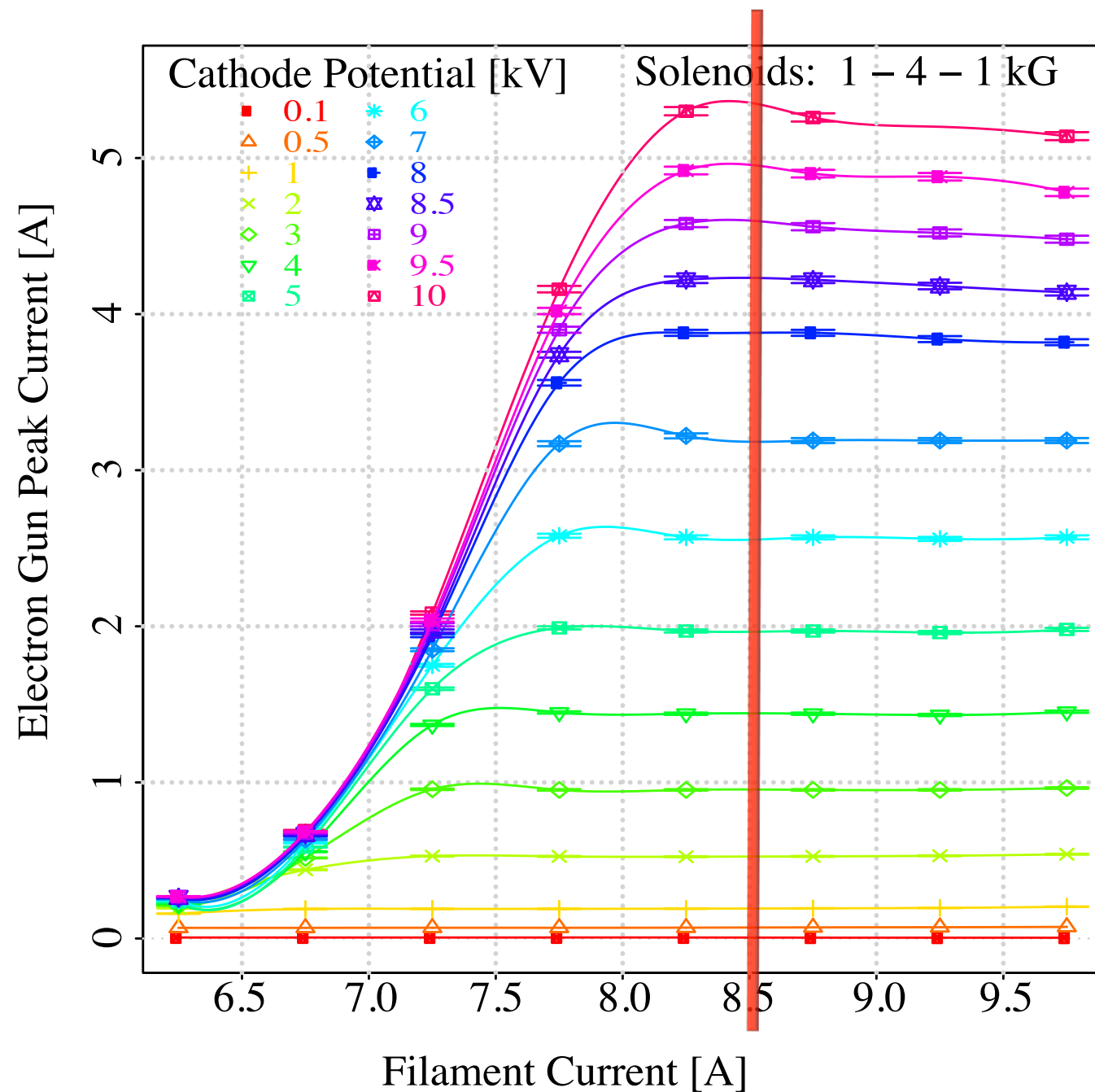
# Results

- Optimal operation parameters for LHC
- Yield measurements
- Beam evolution
- Rough Upper Estimate of Emittance Growth
- 3D simulations

*Vince Moens, 11.04.2013*

# Optimal Operating Parameters

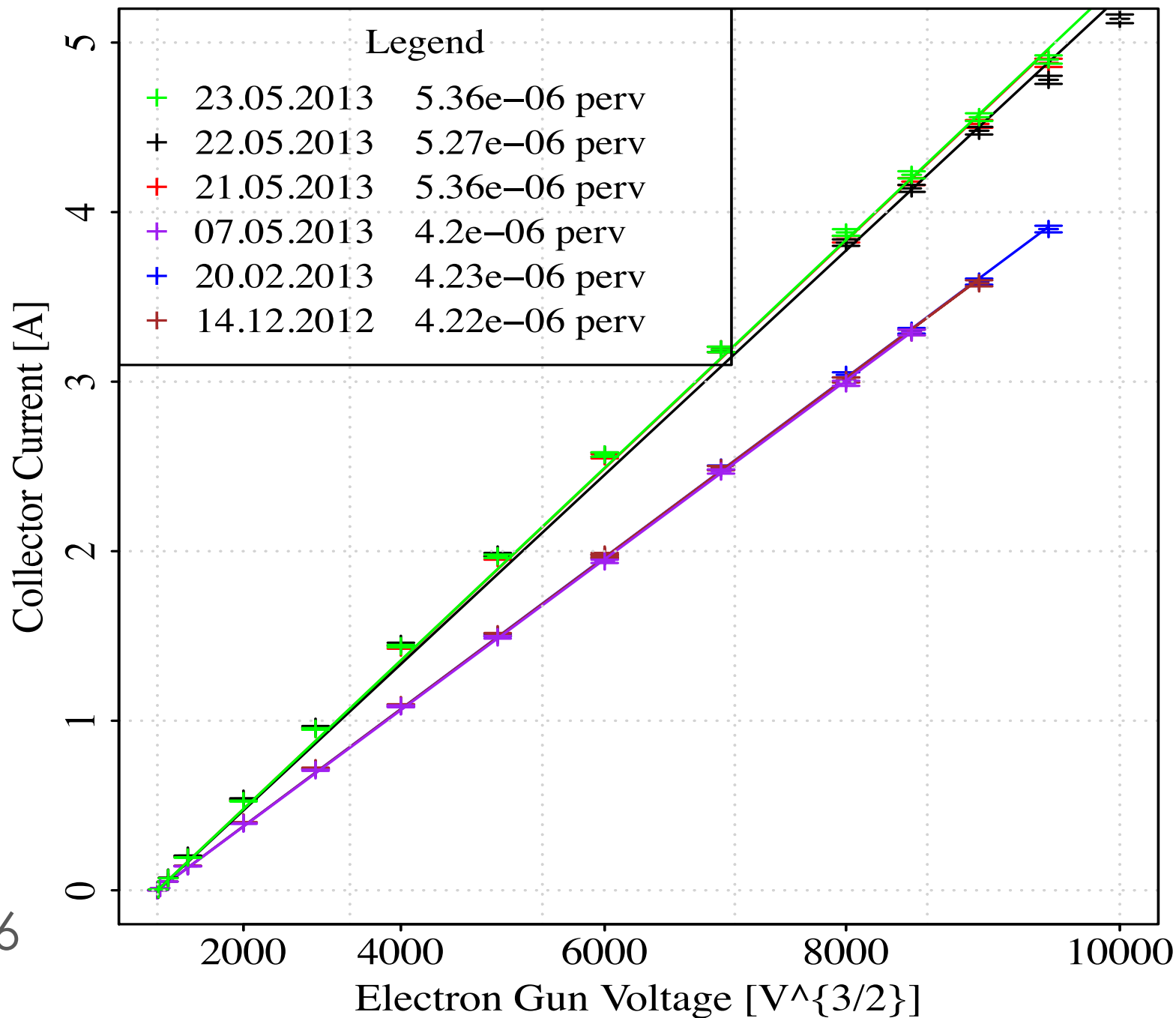
- Inner radius  $3 \sigma < R_i < 6 \sigma$   
 ( $\sigma = 4.7 \times 10^{-4} \text{ m}$ )
- Magnetic compression ( $R_i = 4 \sigma$ )
  - Factor **11.5**
  - $B = 0.43 - 5 - 0.43 \text{ T}$
- Cathode potential of HG1b
  - **3-4 kV** for similar current as Tevatron experiments
- Optimal filament current
  - Up to now: 9.25 A
  - Can be reduced to  $\approx$  **8.5 A**





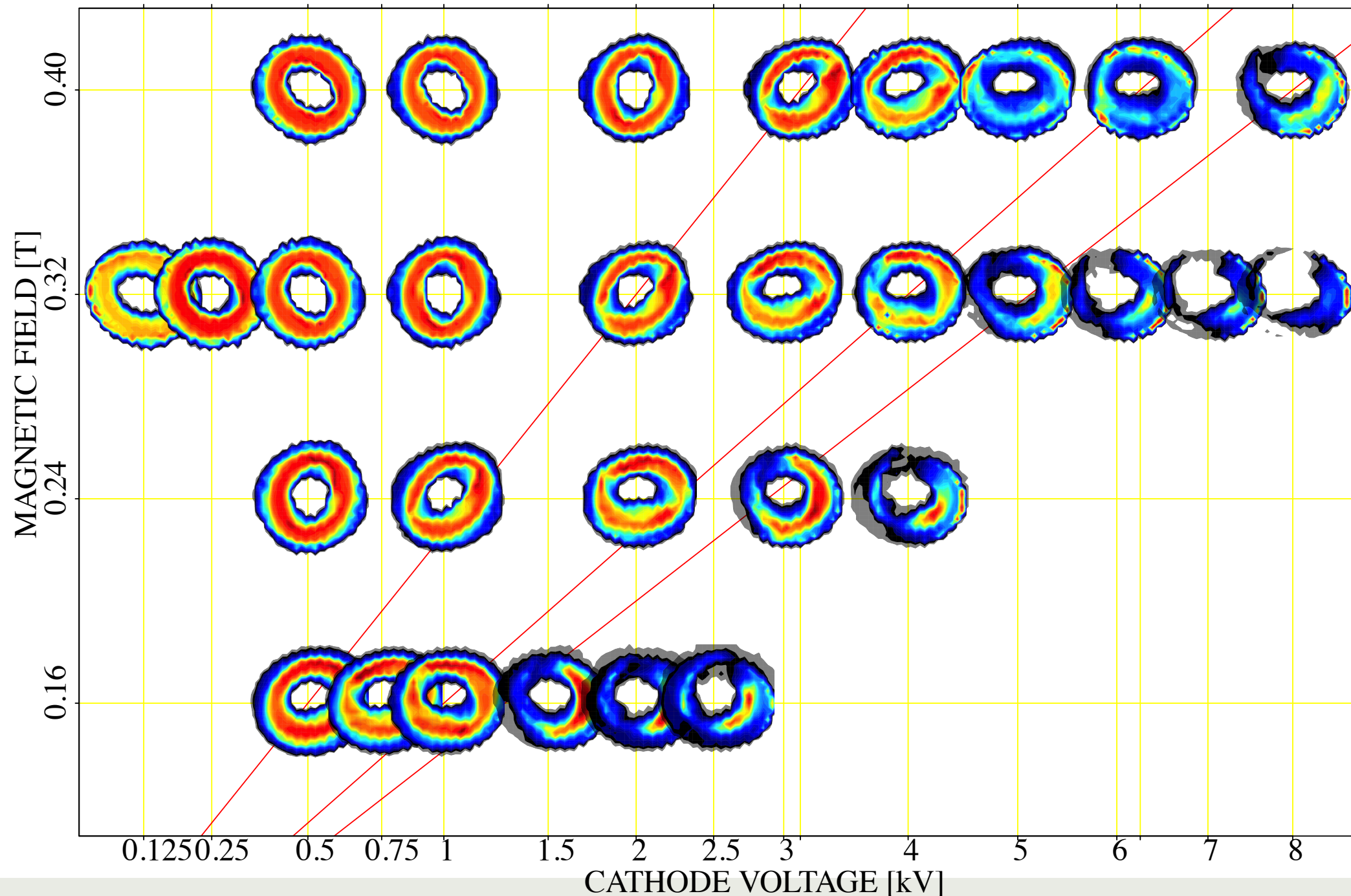
# HG1b Yield

- Before transport improvement:
  - Yield:  $4.22(3) \times 10^{-6}$  perv
  - Consistent with previous measurements
  - Slow degradation of gun
- Yield at collector 70% of gun
  - Biggest Gun yet!
- After transport improvement:
  - Yield:  $5.3(1) \times 10^{-6}$  perv
    - Produces 5 A at 10 kV
  - 15% of SAM simulations
  - 65% more yield than HG06



# Transverse Profiles

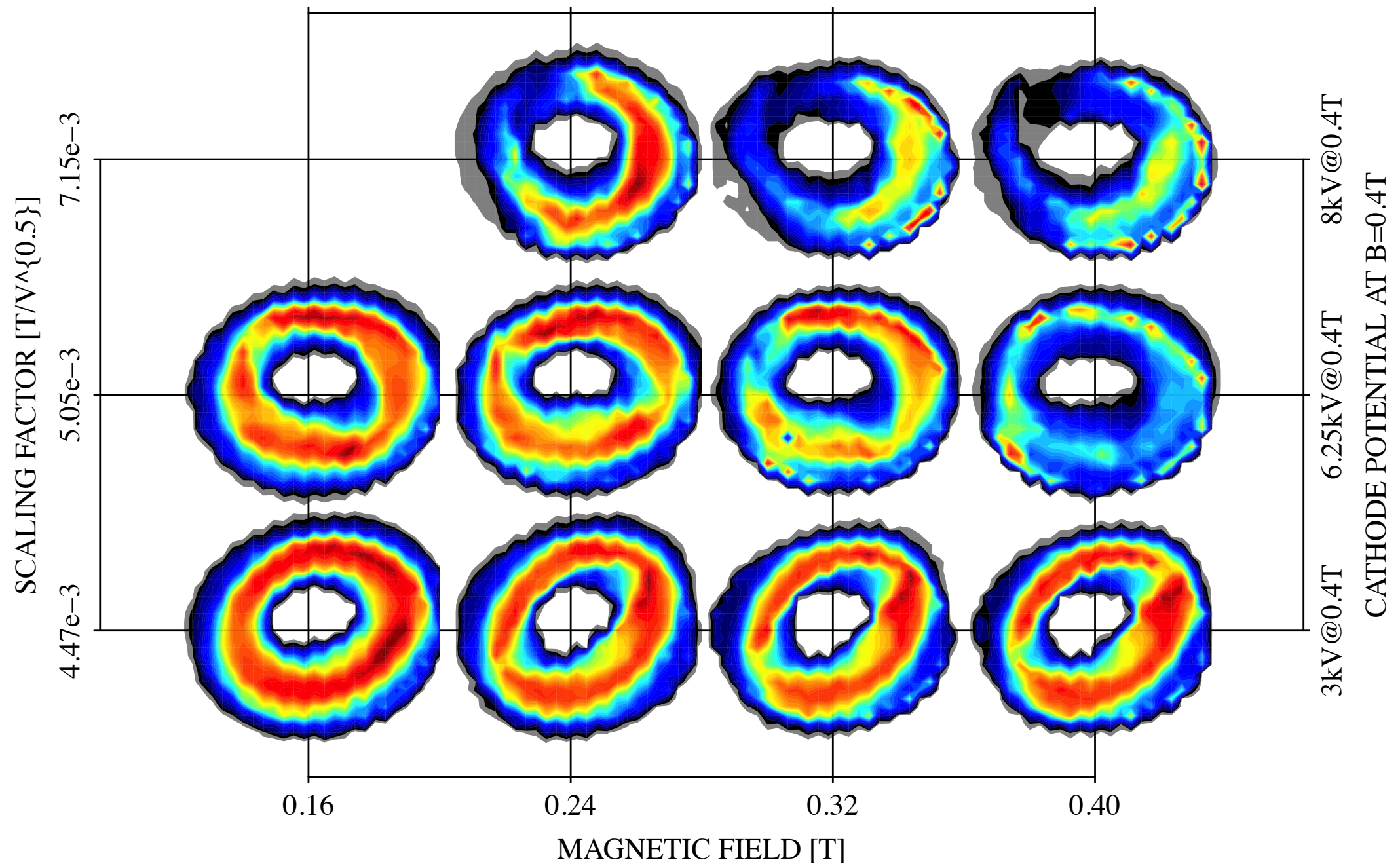
- Current density profiles by moving beam over pinhole
- Profiles injected into 3D simulation
- Profiles sorted by  $B_{\text{main}}$  and  $V_a$
- Red lines: scaling lines



# Beam Scaling

- Scaling law → angle of rotation must be constant

$$B \propto \sqrt{V}$$

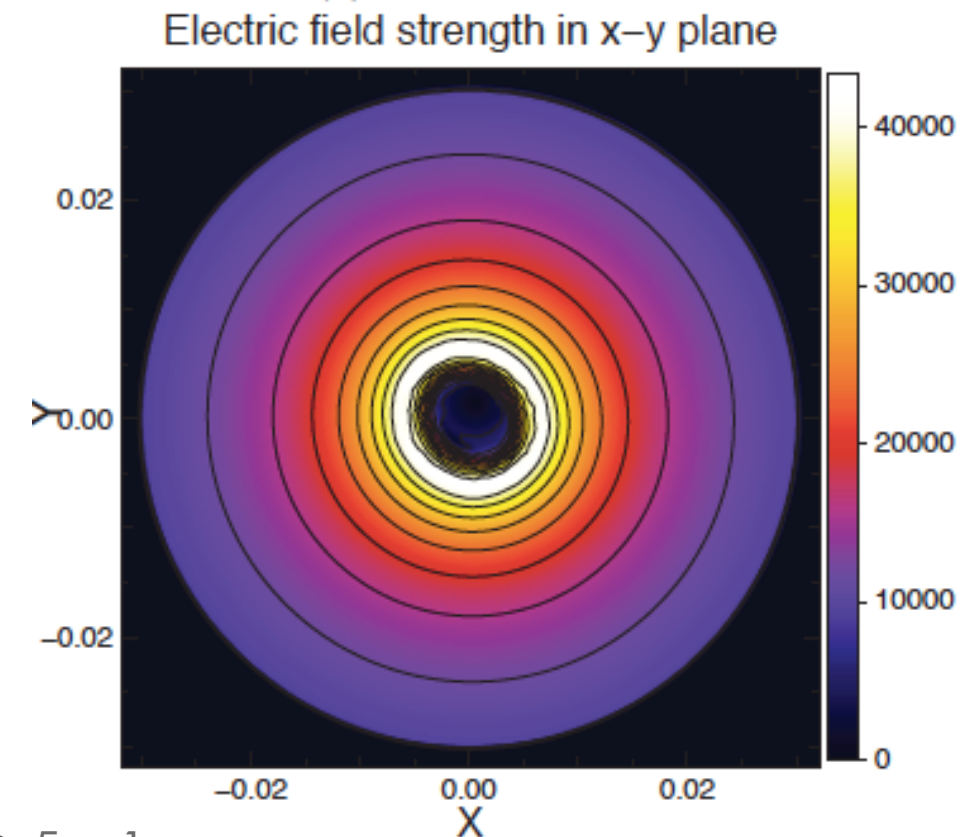


# Rough Emittance Growth Estimates

- Calculation of electric fields using WARP
- Emittance growth given by:

$$\Delta\varepsilon = \beta\theta^2 = \beta \left( \frac{E_{tot}qE_rL}{E_{tot}^2 - E_0^2} \right)^2 \left[ \frac{m}{turn} \right]$$

- Rough estimate through mean Gaussian weighted RMS field in center of beam
- Current LHC emittance growth rate  $\approx 1 \times 10^{-5} s^{-1}$

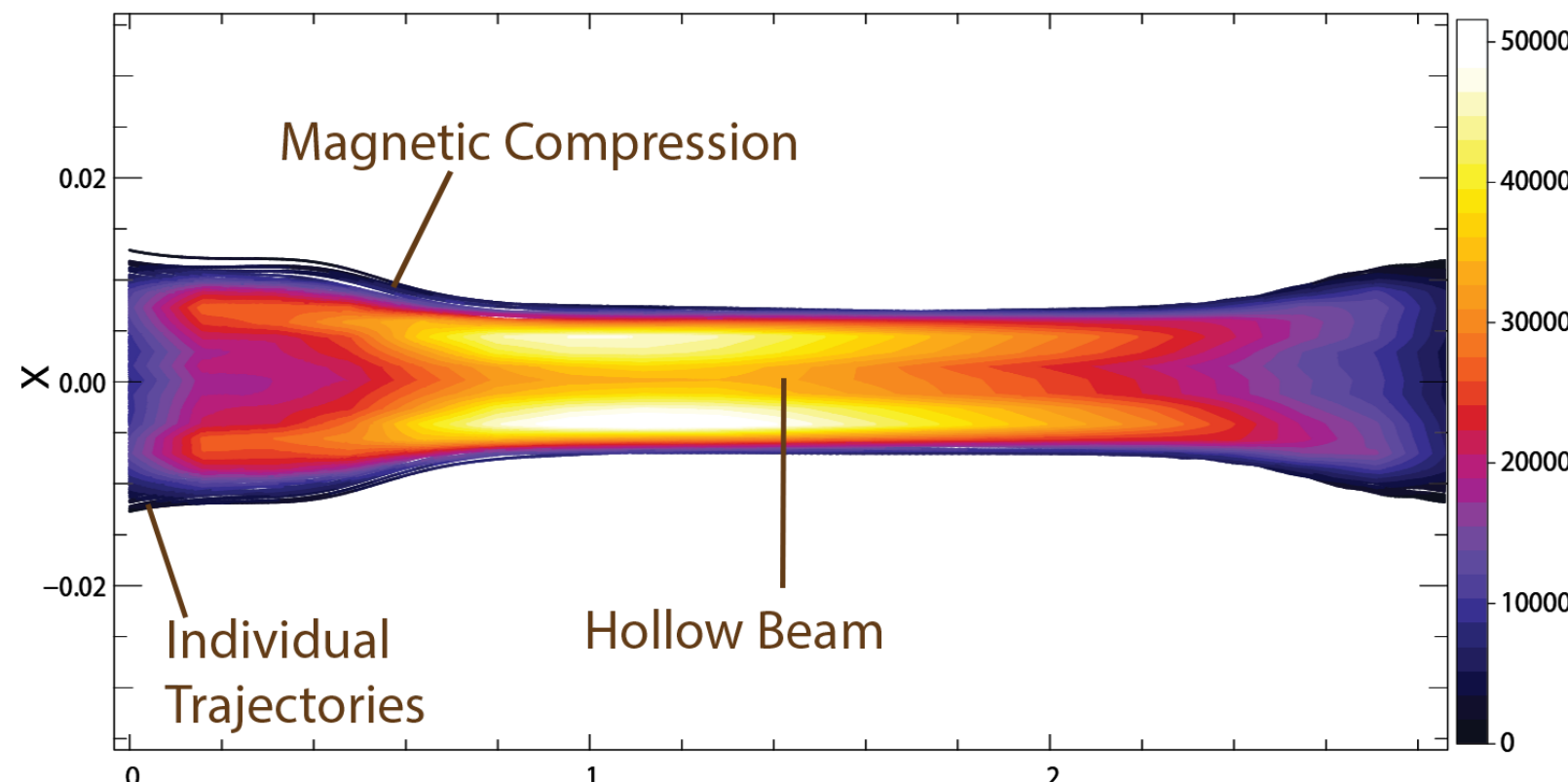
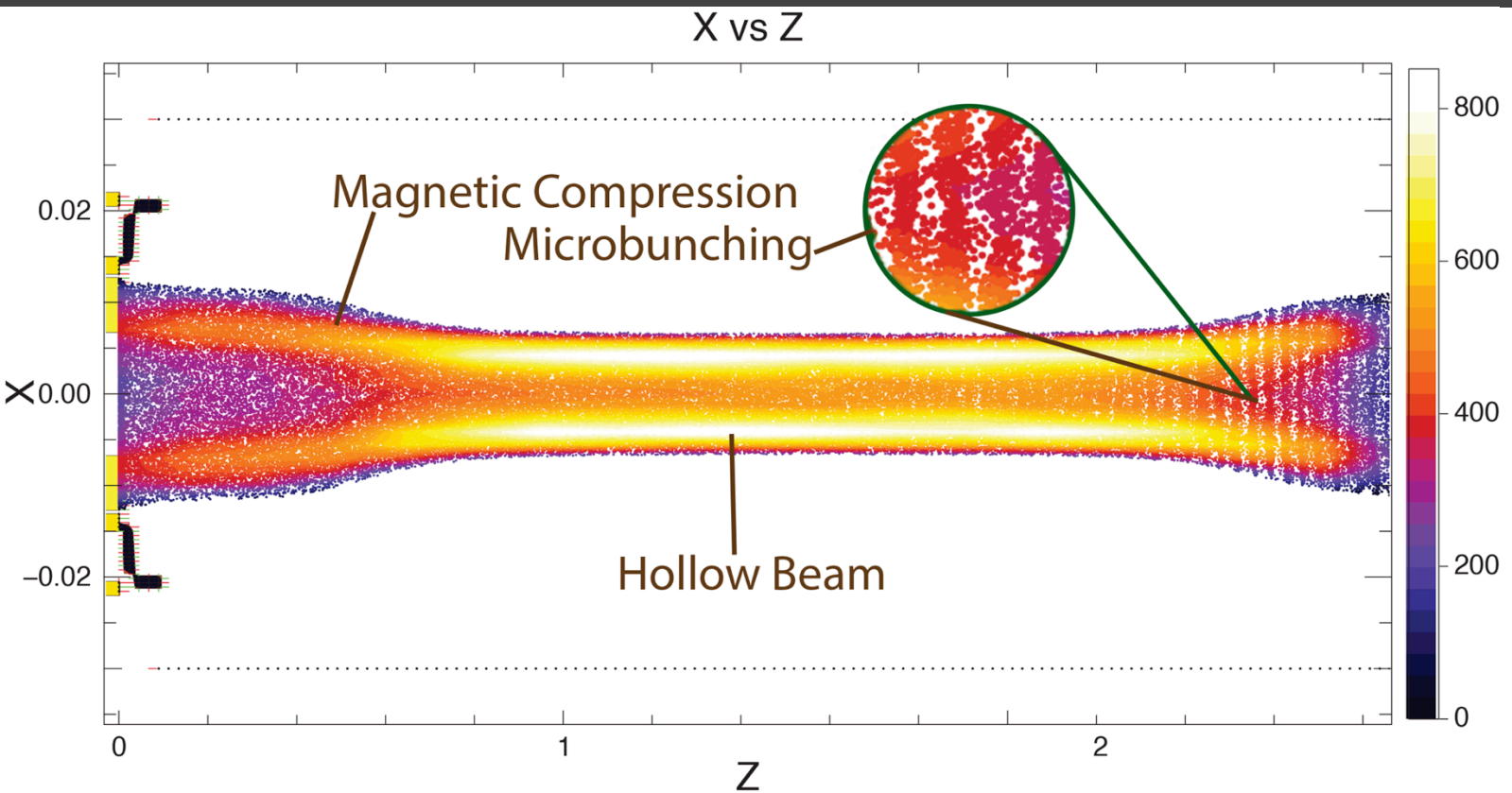


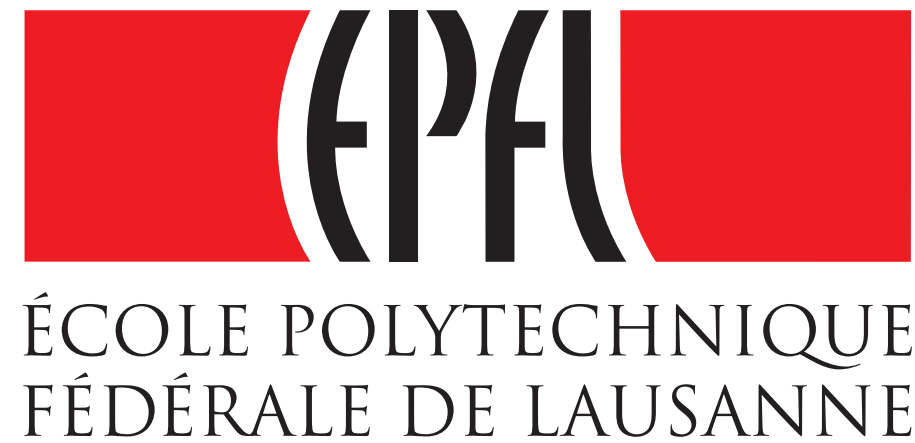
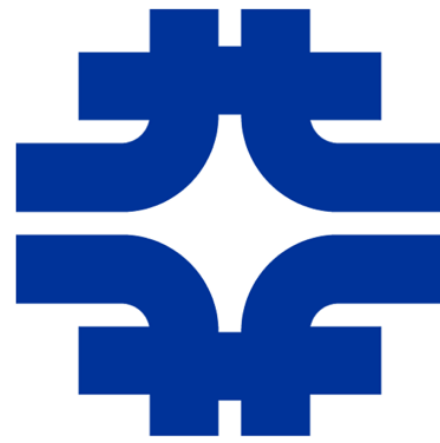
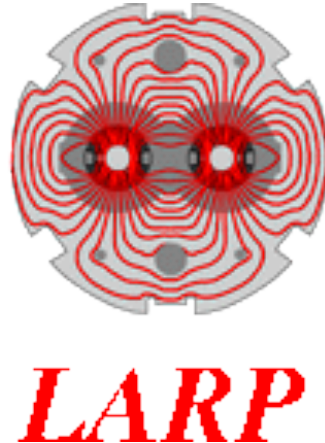
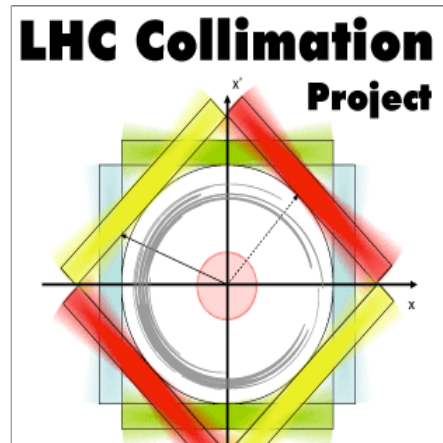
EGR [ $\times 10^{-5} \frac{1}{s}$ ]		Cathode Voltage [kV]			
Radius [mm]	$B_{max}$ [kG]	500	1000	2000	3000
4 $\sigma$	4	0.089	0.246	1.11	97.8 (3125 V)
	3.2	0.025	0.093	29.3	48.7
	2.4	0.010	4.59	18.9	227
	2.4	1.34	4.54	144	-

- Indication of no extra emittance growth -> Luminosity

# First Full 3D simulations

- First 3D simulation of HEBL using WARP
- Aimed at extracting kick map for SixTrack or Lifetrack simulations
- Two injection methods implements
- Issues to be solved:
  - Implementation of TEL2 bends
  - Heavy computing power needed
  - Further diagnostics tools need to be implemented
- Continued by myself for conceptual design report





# Conclusions



*Vince Moens, 11.04.2013*

<http://anim3d.web.cern.ch/>

# Conclusions

- Useful technique for enhancing current collimation systems
  - **Active control of losses**
  - **Less dependent on loss spikes**
  - **Increases impact parameter**
- Determined optimal operating parameters for LHC
  - **Factor 11 compression**
  - **8.5 A Filament Current**
  - **3-4 kV Cathode Potential**
- Transmission upgrade through magnetic compression in TELTS
- Significant yield improvement of HG1b:  $5.3(1) \times 10^{-6}$  perv
- Transverse profile scaling  $\propto \frac{\sqrt{V_a}}{B}$
- Emittance growth rates are acceptable compared to current growth rates
- First Full 3D simulations

# Questions

Thank You!



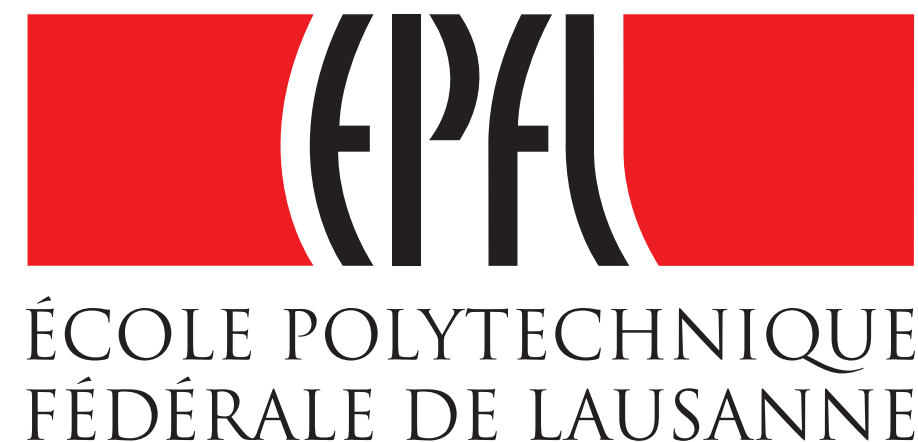
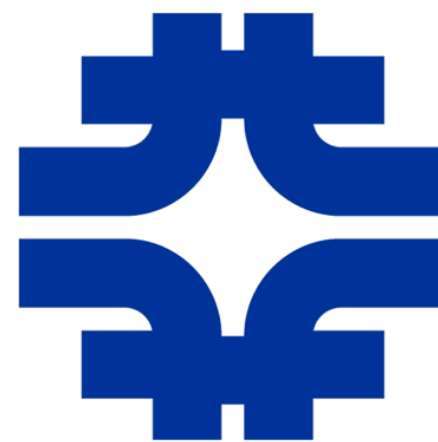
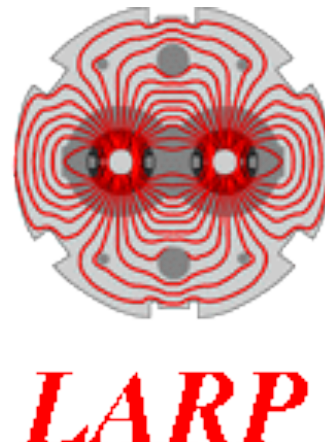
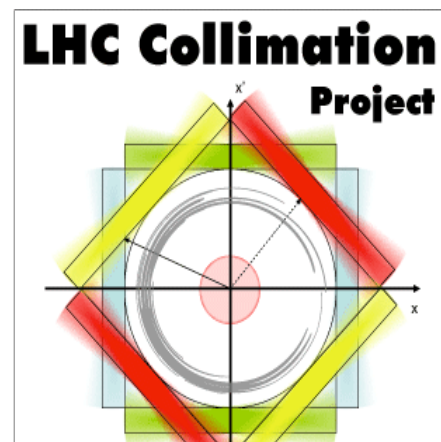
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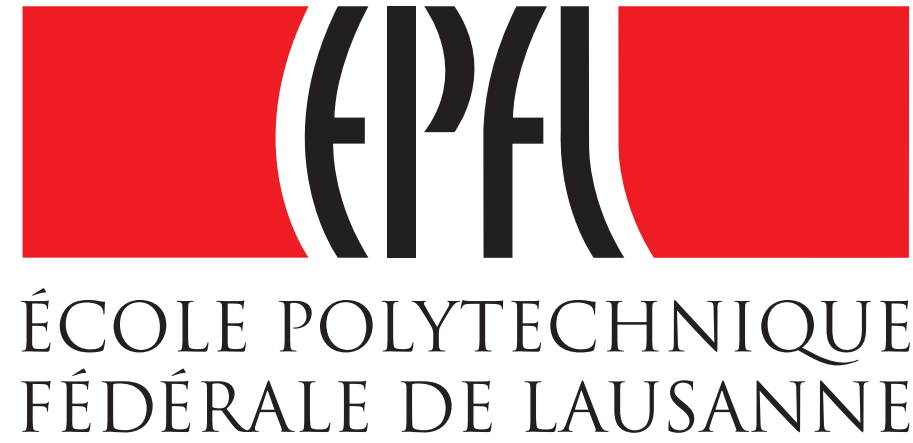
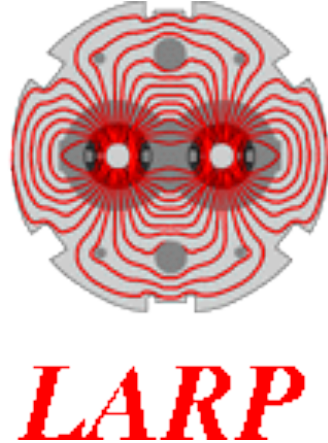
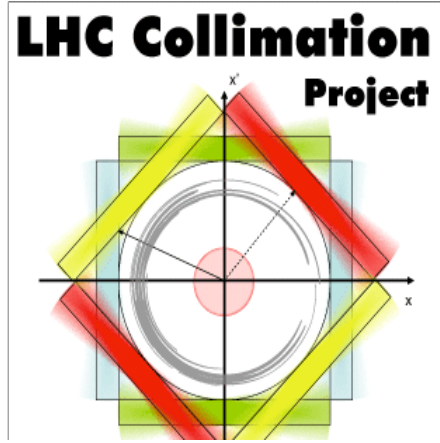
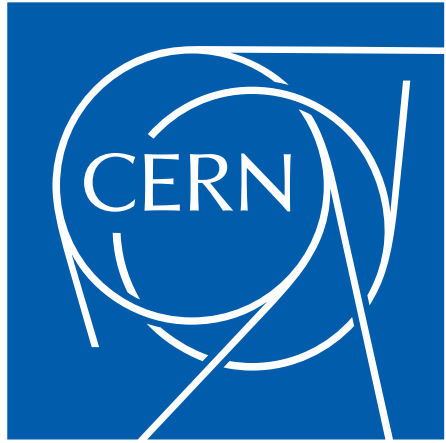
- Fermilab Library: FERMILAB-MASTERS-2013-02 ([www.inspirehep.net](http://www.inspirehep.net))
- CERN Library: CERN-THESIS-2013-126 ([www.cds.cern.ch](http://www.cds.cern.ch))



# Acknowledgements

- **Prof. Leonid Rivkin** Master Thesis Director
- **Dr. Giulio Stancari** Fermilab Supervisor
- **Dr. Stefano Redaelli** CERN Supervisor
- **Dr. Moses Chung** WARP advisor
- **Dr. Alexander Valishev** HEBL collaborator
- **Dr. David Grote** WARP author





# Backup Slides



*Vince Moens, 11.04.2013*

<http://anim3d.web>

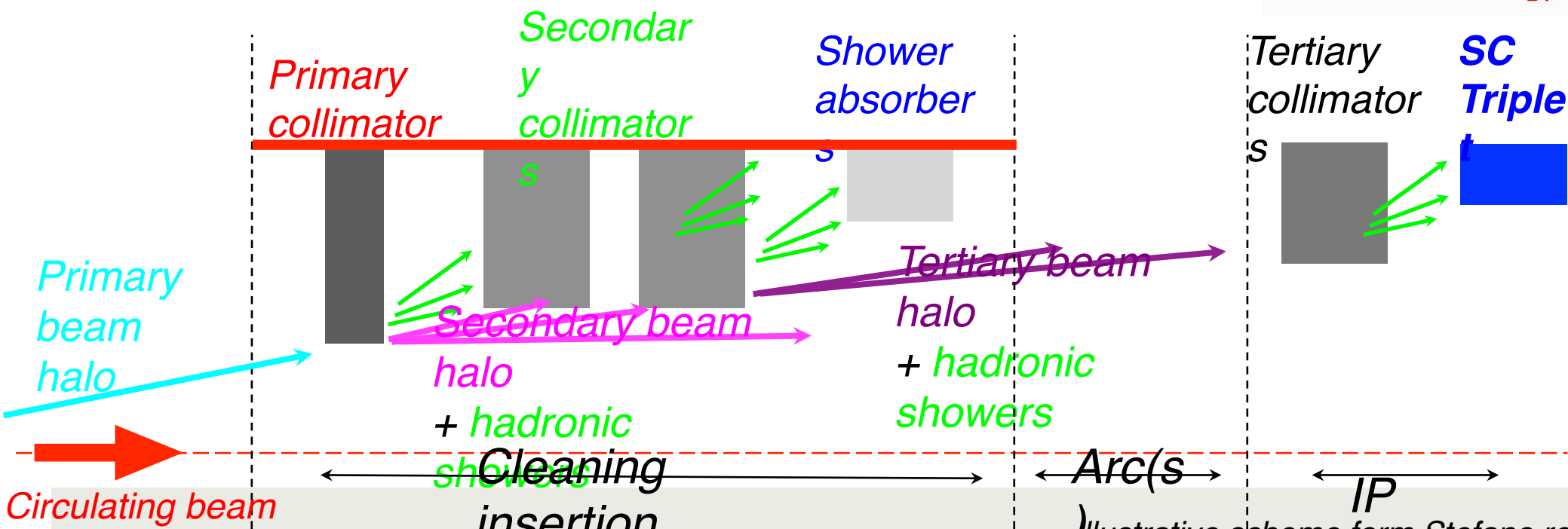
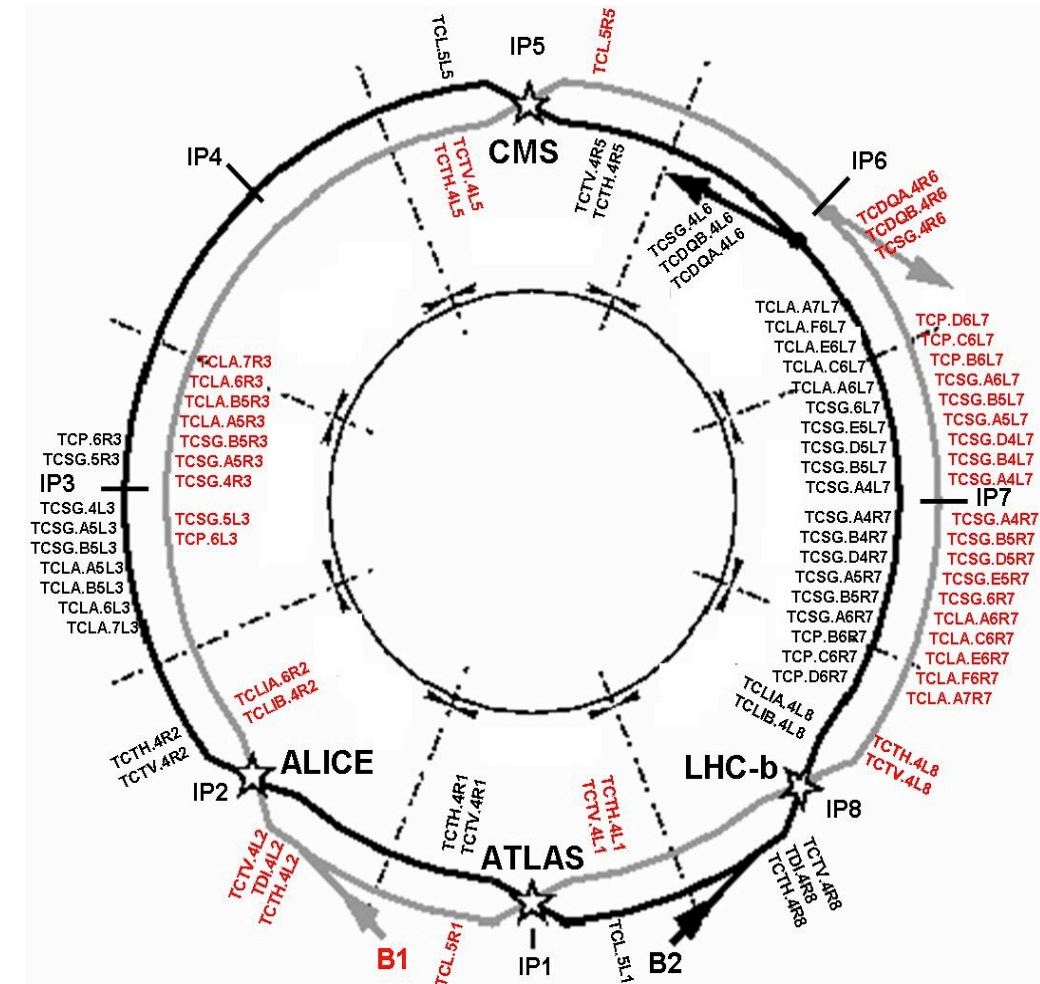


# Backup Contents

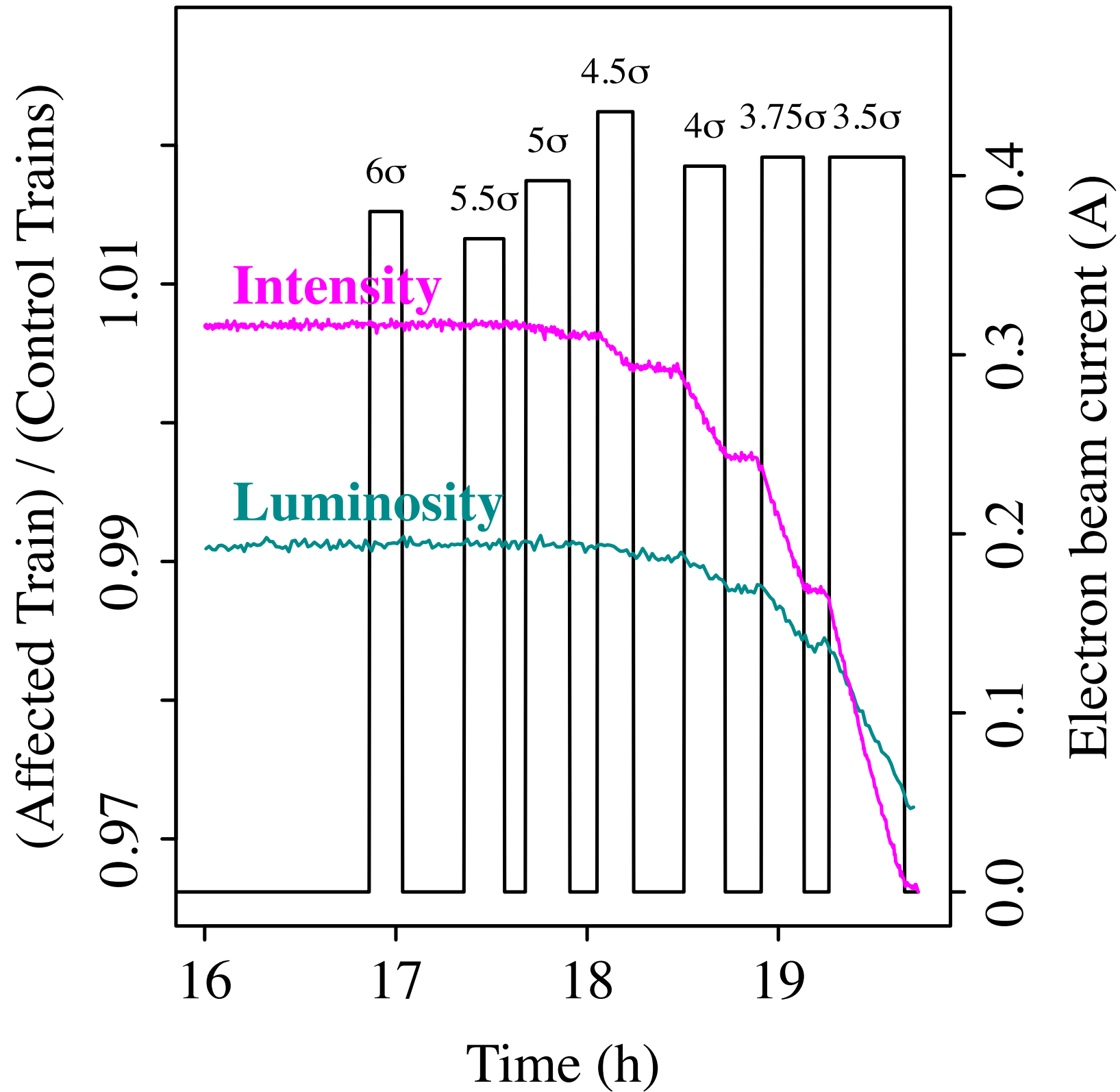
- LHC & Collimation System
- Tevatron Intensity
- Tevatron Electron Lens 2
- Conceptual Straight HEBL Design
- Cathode Temperature
- Time Structures
- Generalized Perveance
- SAM Simulations
- Background Gases
- Transverse Profiles
- Beam Evolution
- Transverse Electric Fields at 500 V
- Transverse Electric Fields at 8 kV
- Derivation – Angle of Rotation
- Derivation – Electric Field Equations
- Derivation – Emittance Growth Rate
- WARP – Implemented Gun
- WARP – Potential Fields
- WARP – Electric Fields

# LHC & Collimation System

- Beam continually cleaned through multi-stage collimation system
- Approximately 100 collimators
- 4 colliding IR & 4 non interacting IR
- Affected by electromagnetic impedance, beam jitter, increased loss rates when moving collimators



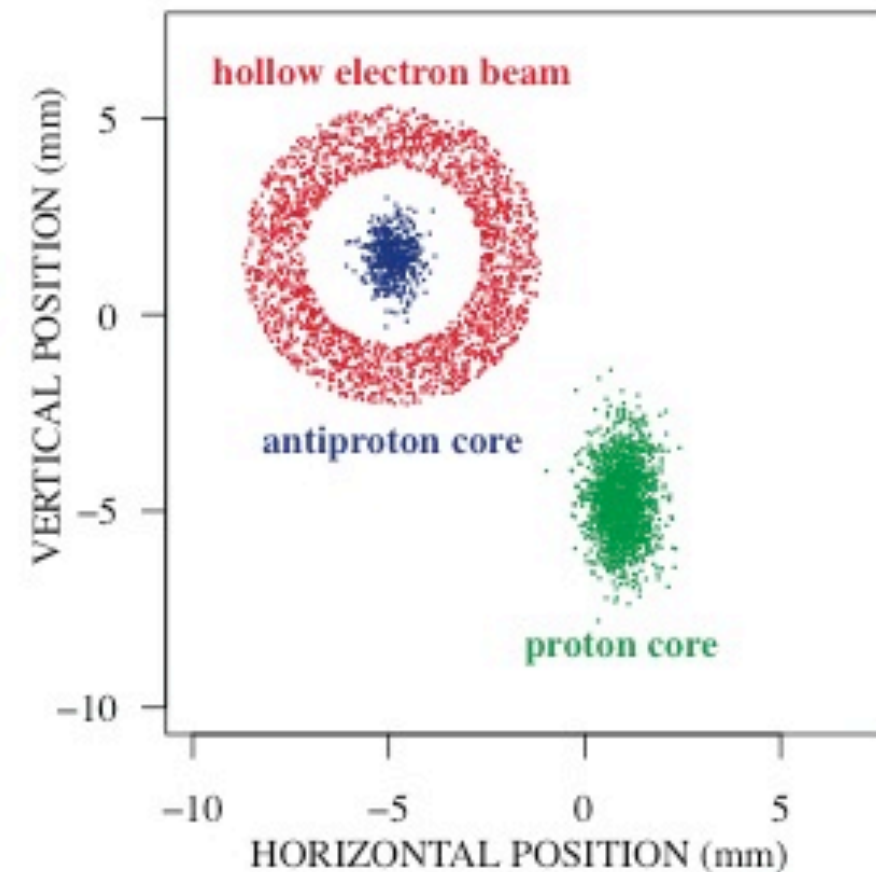
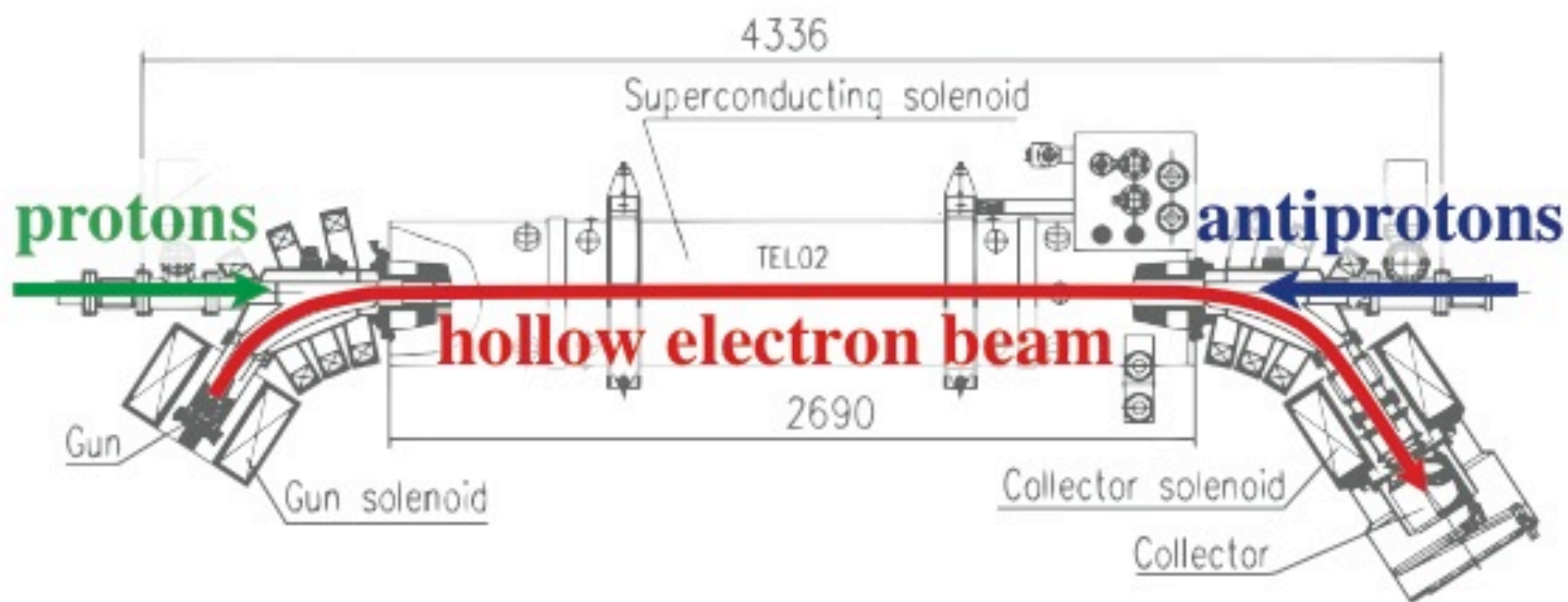
# Tevatron Intensity



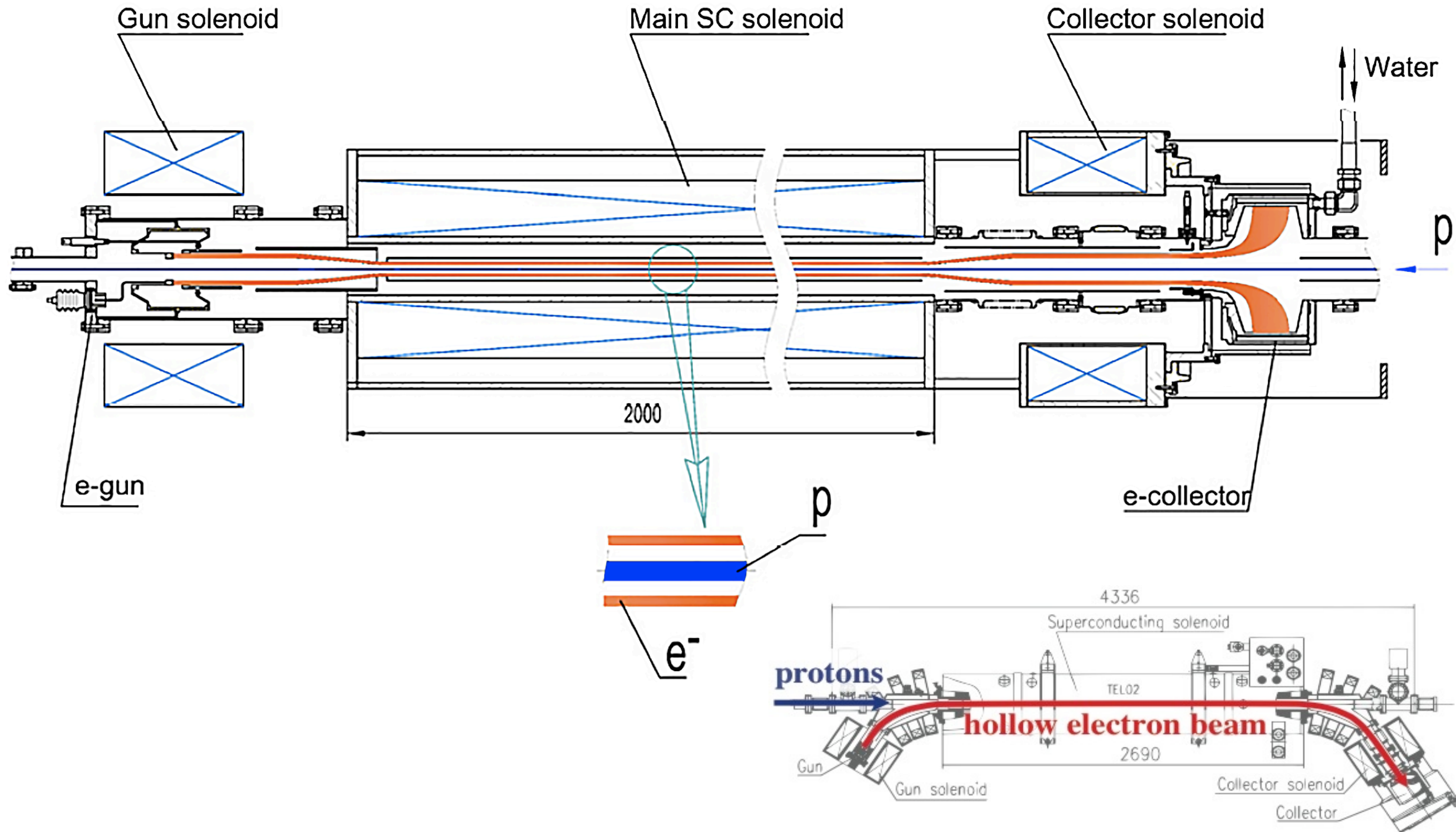


# Tevatron Electron Lens 2

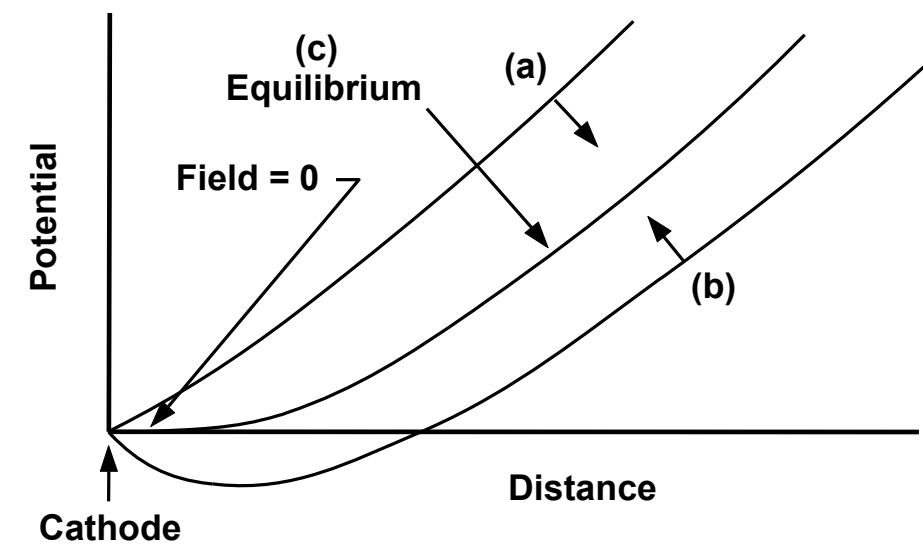
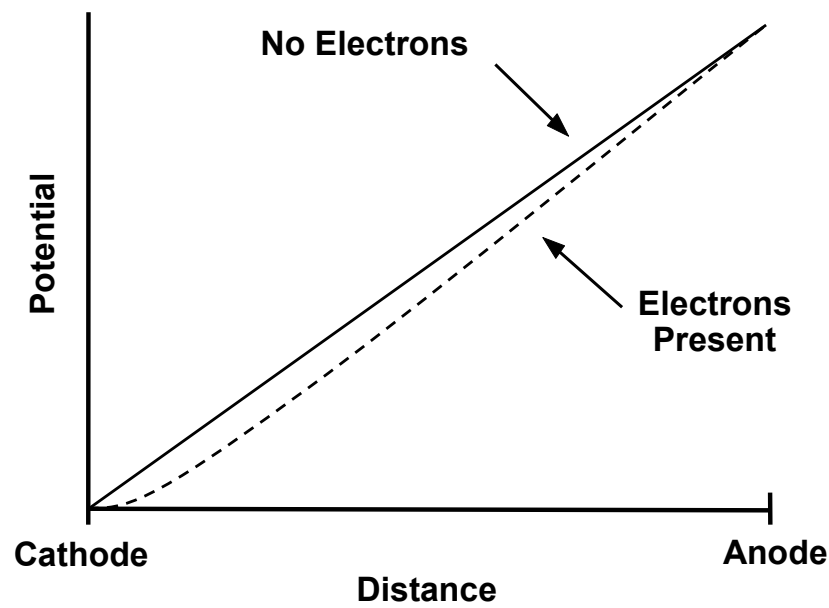
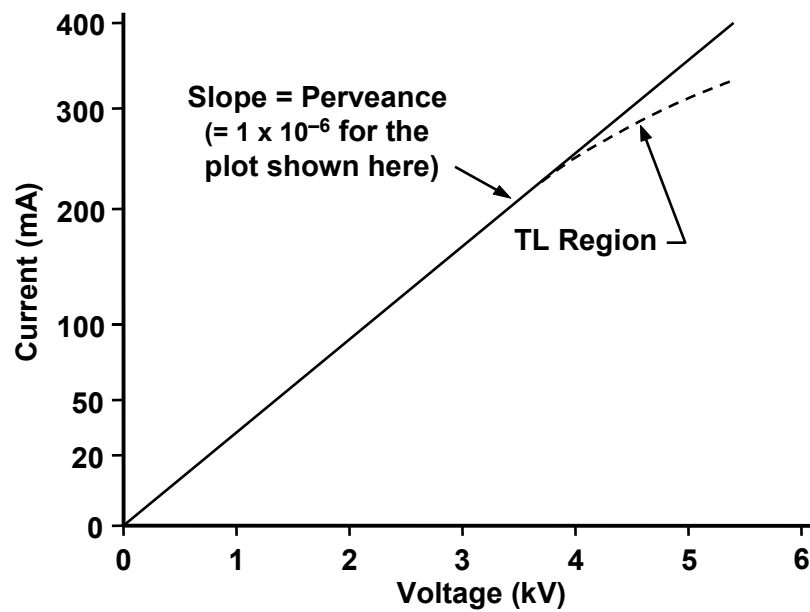
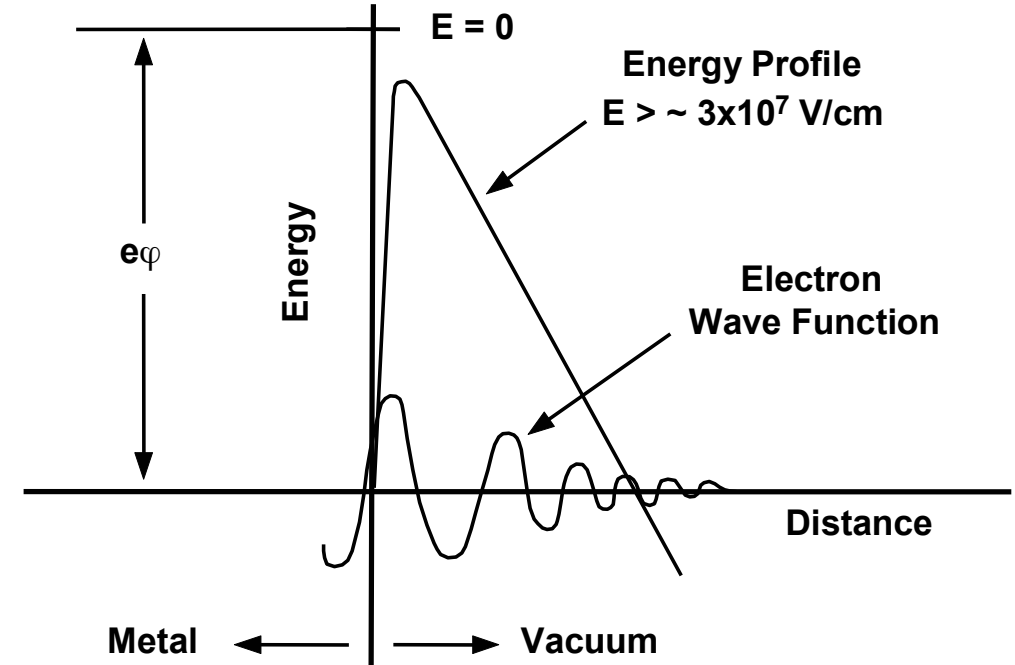
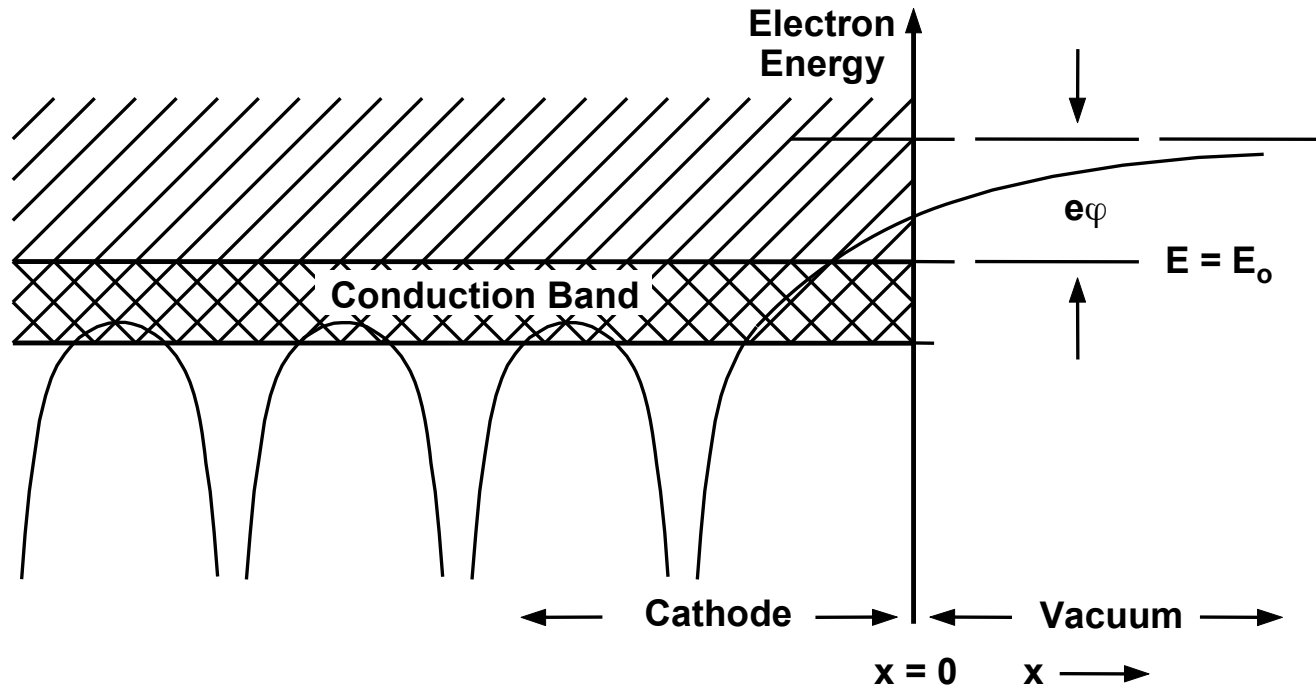
- Gun & collector outside tube
- Only one beam
- 3 main solenoids
- Pierce through edge



# Conceptual Straight HEBL Design

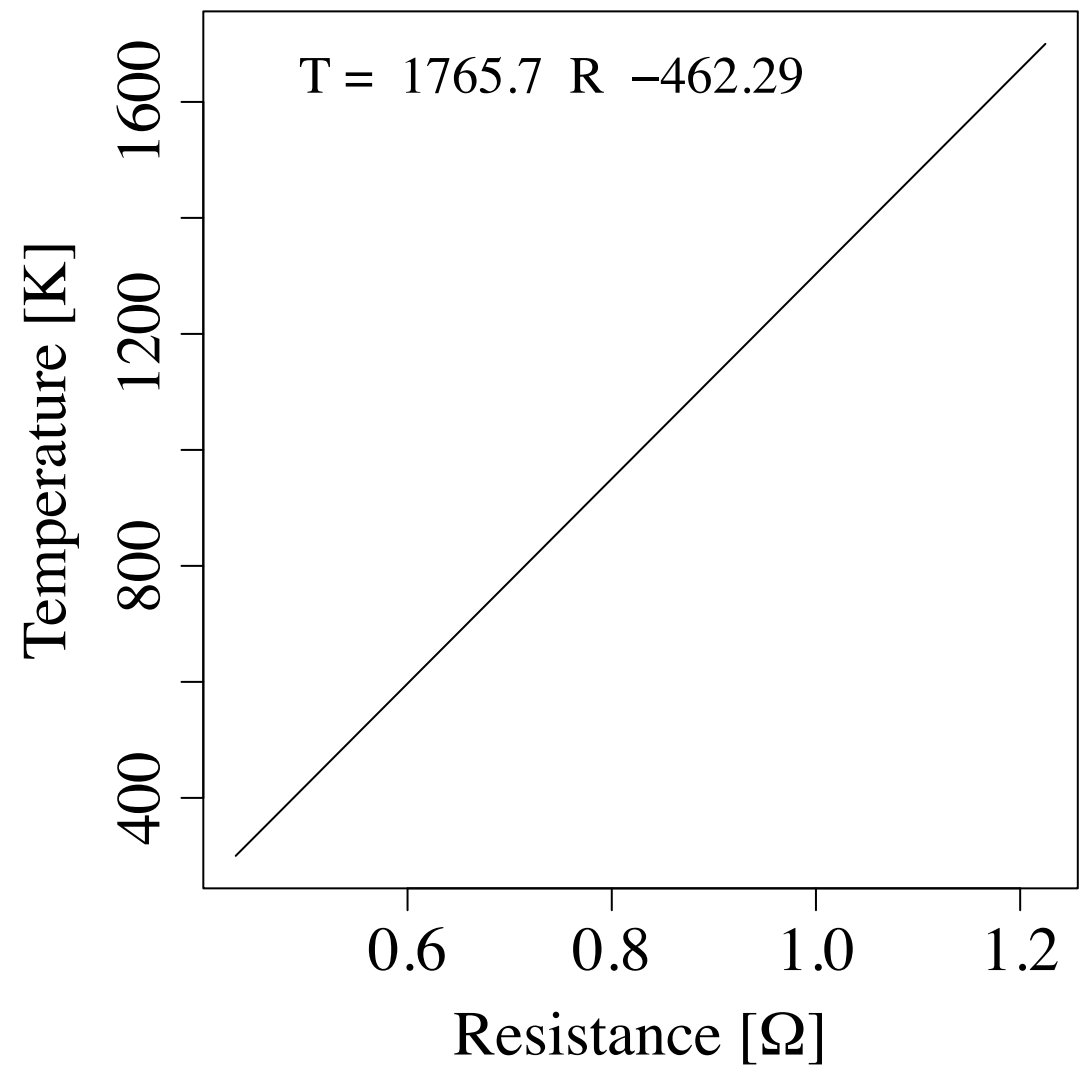
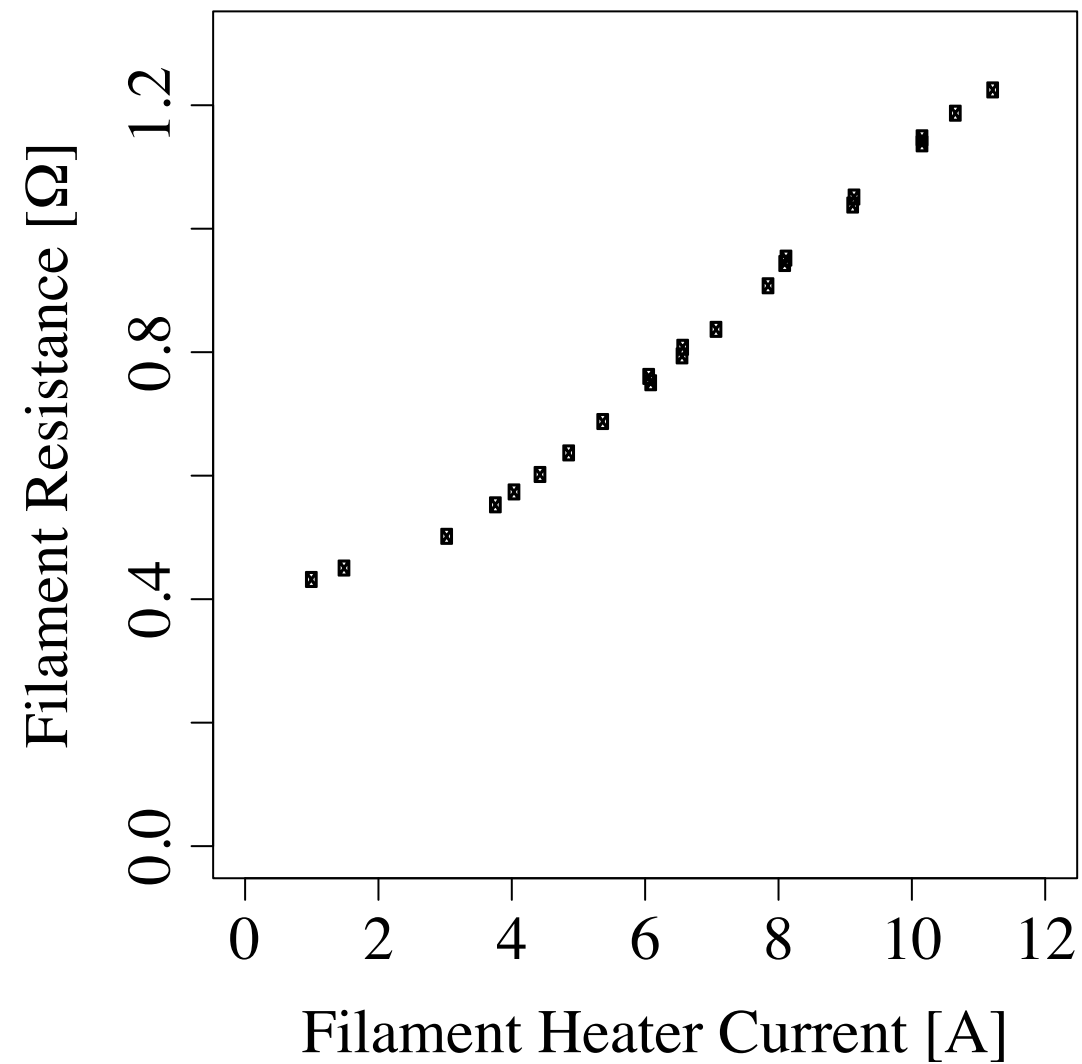


# Thermionic Emission





# Cathode Temperature



$$T = \beta R + T_0$$

$$\beta = 1770 \text{ K } \Omega^{-1}$$

$$T_0 = -462 \text{ }^\circ\text{C}$$

# Time Structures

- Rise Time: 200 ns
- Bunch spacing Tevatron: 400 ns
- Bunch spacing LHC: 25 ns
- Possible to obtain bunch by bunch manipulation in LHC.
- Aim is to obtain turn-by-turn excitation.
  
- Valentina achieved 75% cleaning in 20s using AC beam mode

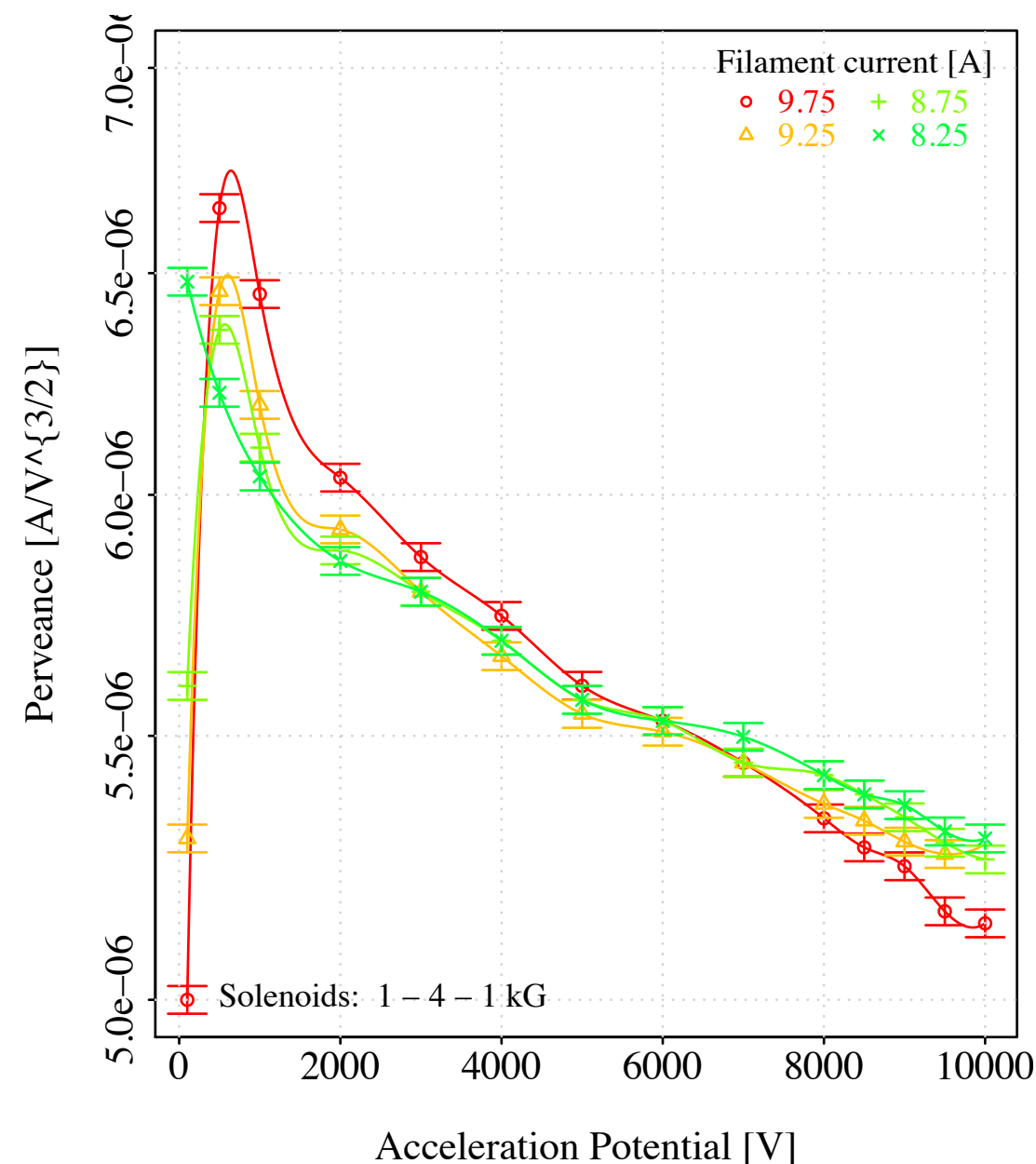
# Generalized Perveance

$$K = \frac{I}{I_0} \frac{2}{(\beta\gamma)^3} (1 - \gamma^2 f_e) \quad ; \quad I_0 = \frac{4\pi\epsilon_0 mc^3}{q} = 17kA ;$$

$$\beta = \frac{v}{c} \text{ and } v = \left( \frac{2qV}{m} \right)^{\frac{1}{2}}$$

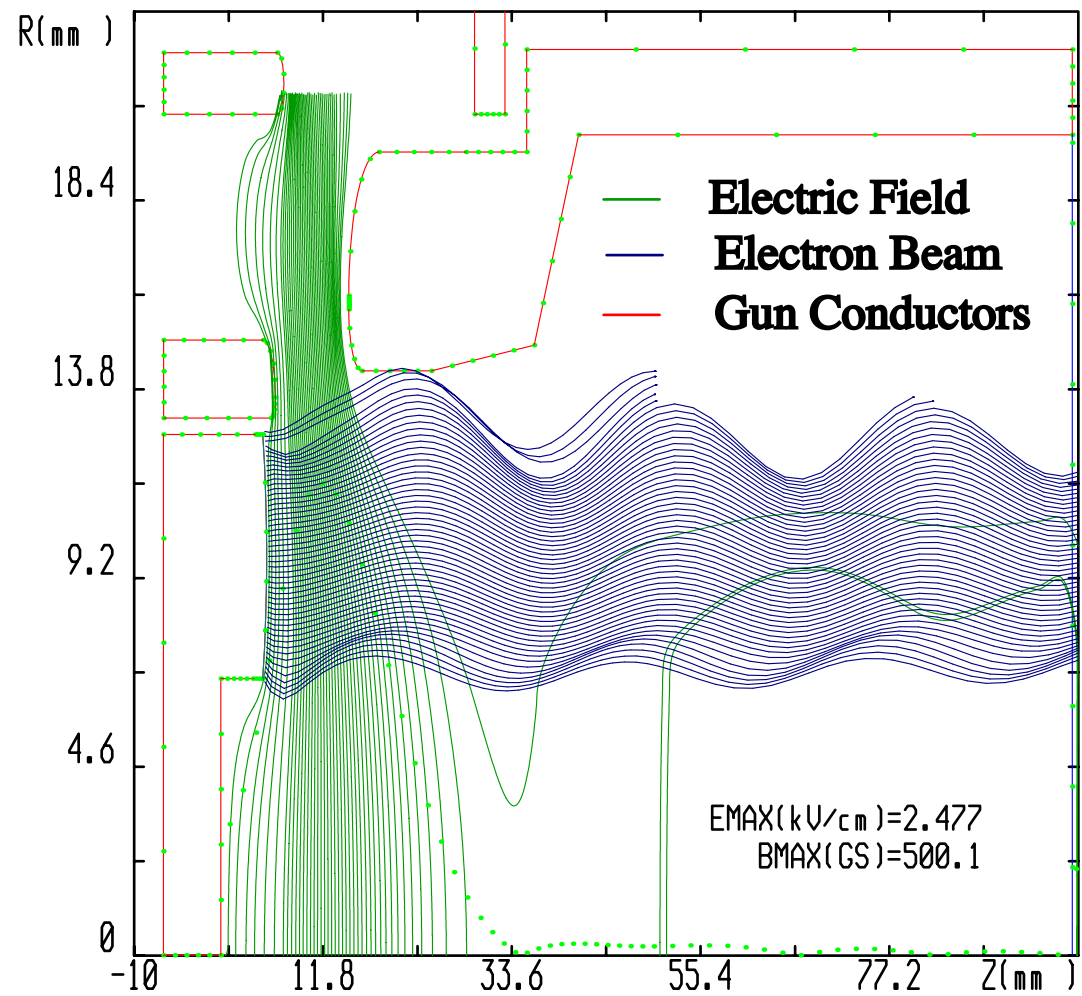
$$K = P \times \left[ \frac{(1 - \gamma^2 f_e)}{4\pi\epsilon_0 \gamma^3 (2q/m)^{1/2}} \right]$$

$$\frac{K}{(1 - f_e)} = P \times \left[ \frac{m^{1/2}}{4\pi\epsilon_0 (2q)^{1/2}} \right] = P \times 1.515 \times 10^4$$



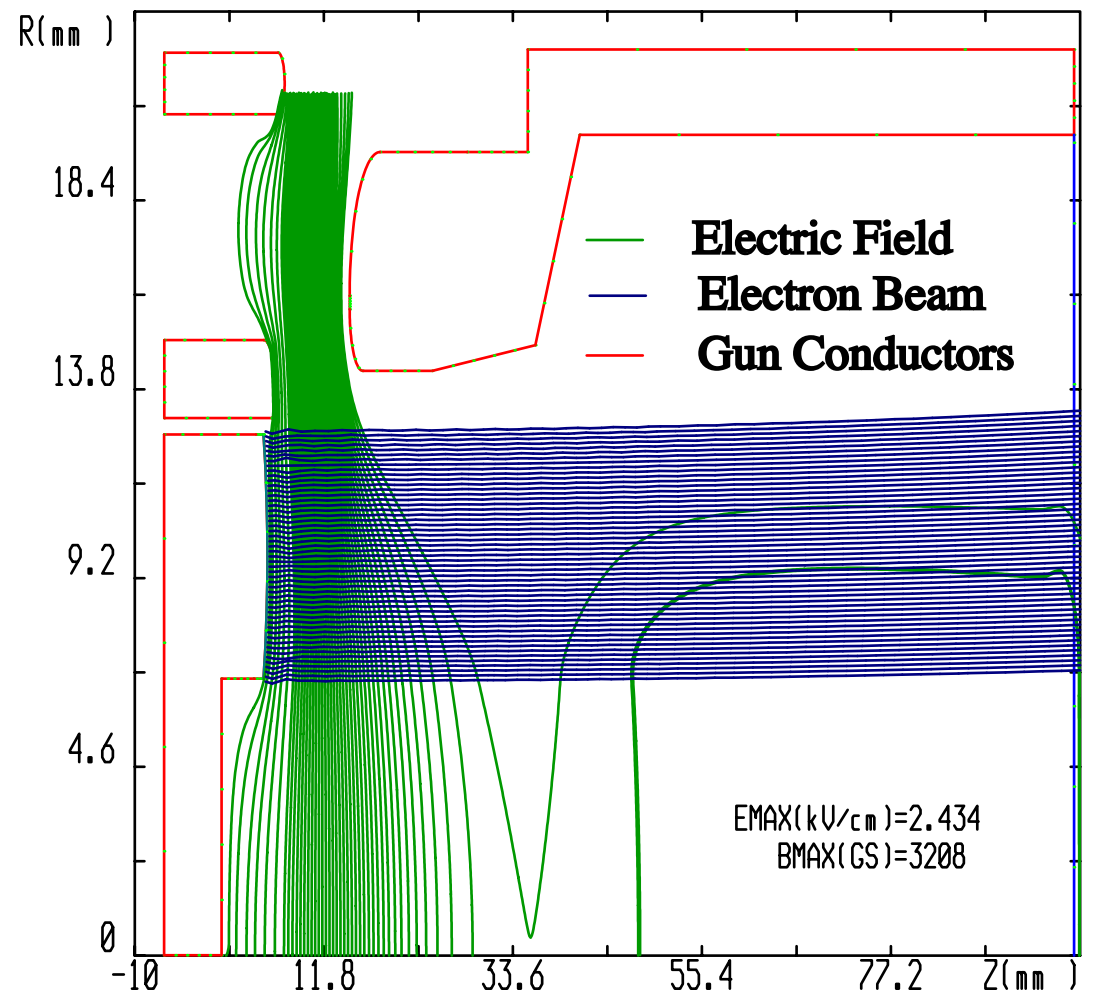
# SAM Simulations

SAM\_V4.00 24-01-2012 11:56 b\_05kg\_135710kv\_jan\_23\_2012



$B = 0.05 \text{ T}$

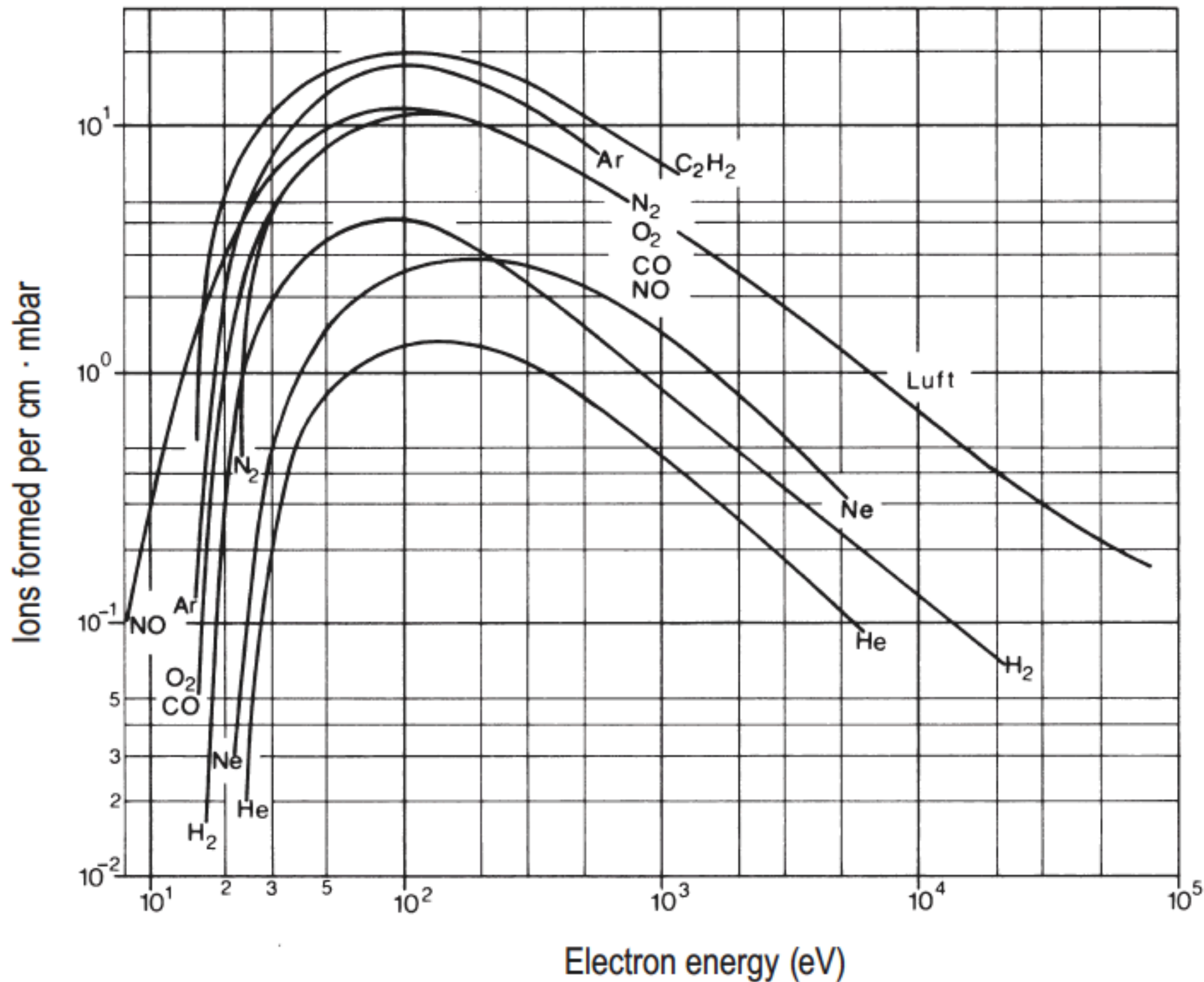
SAM\_V4.00 10-01-2012 12:00 jan\_09\_2012\_5kv\_adj



$B = 0.5 \text{ T}$

$V = 5 \text{ kV}$

# Background Gases



## Background Gas of Test Stand

Hydrogen gas at  $1 \times 10^{-8}$  mbar

# Transverse Profiles

□  $V_a = 500 \text{ V}$

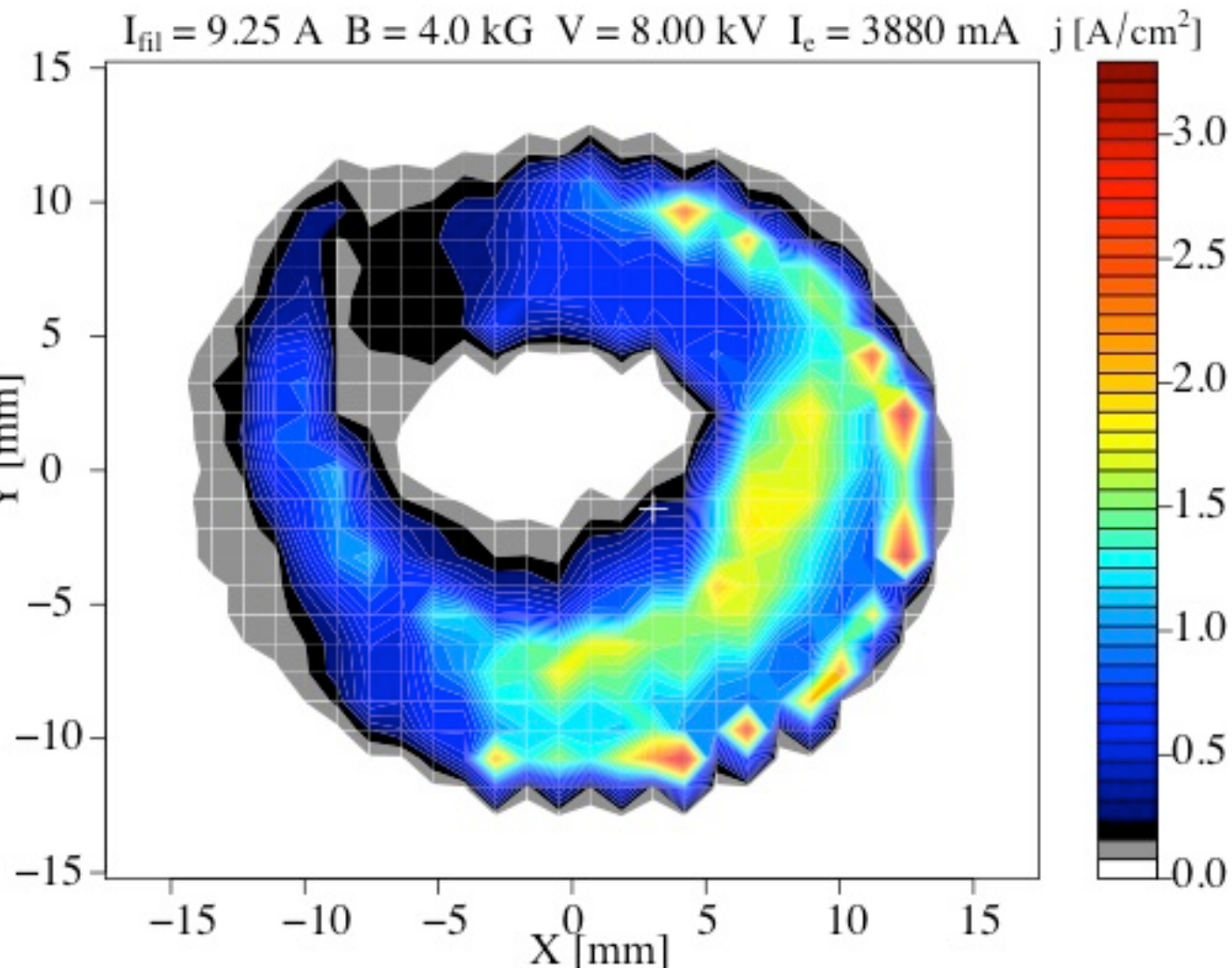
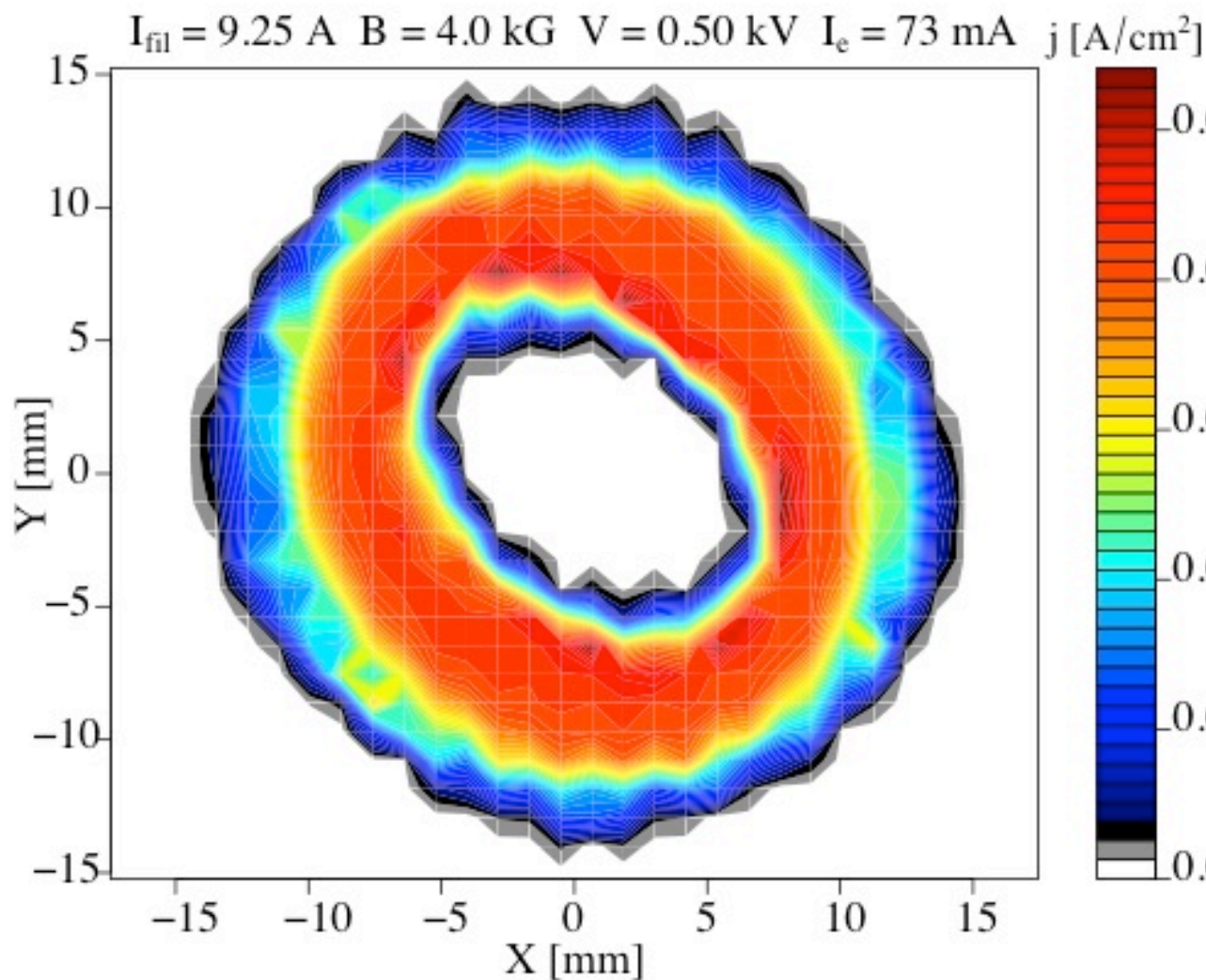
□  $I_{\text{peak}} = 73 \text{ mA}$

□  $B = 1 - 4 - 1 \text{ kG}$

□  $V_a = 8 \text{ kV}$

□  $I_{\text{peak}} = 3.88 \text{ A}$

□  $B = 1 - 4 - 1 \text{ kG}$



# Beam Evolution

- Angle of rotation around beam axis (derived from diocotron frequency)

$$\varphi = \frac{PE_0L\sqrt{V_a}}{4\pi\epsilon_0ec^2(R_o^2 - R_i^2)B} \left( 1 - \left( \frac{R_i}{r} \right)^2 \right) \quad [rad]$$

- Dependence on  $r \rightarrow$  slippage
- Scaling law  $\rightarrow$  angle of rotation must be constant

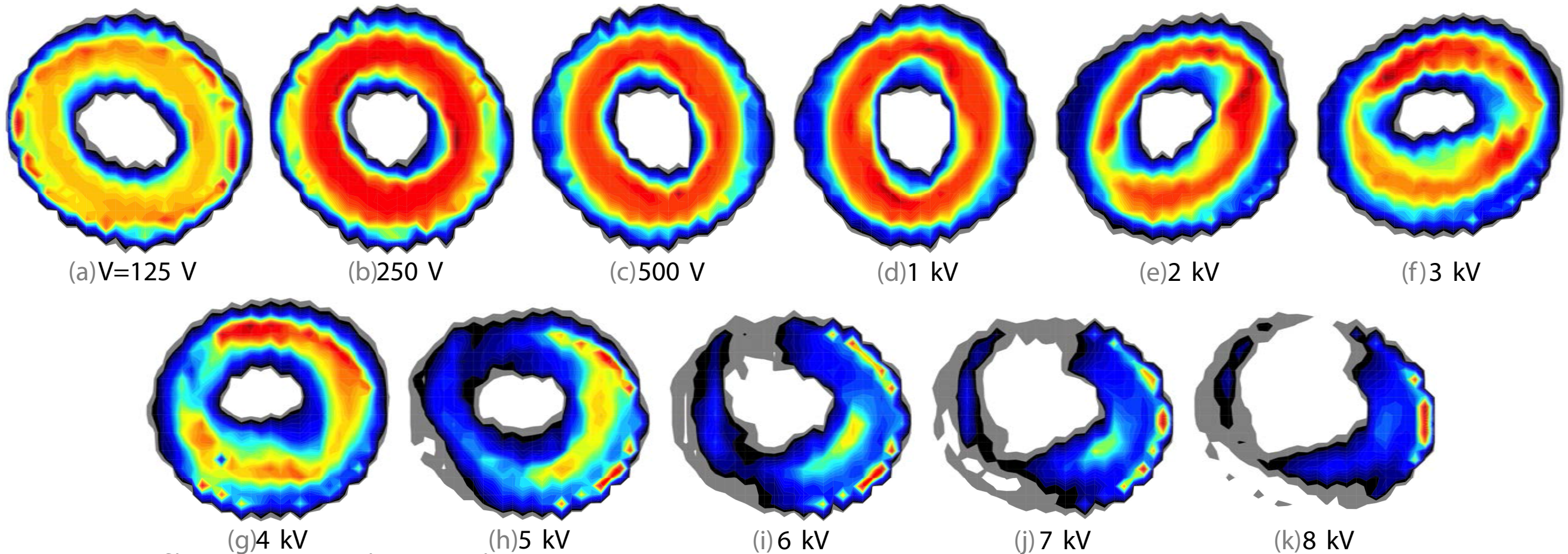
$$B \propto \sqrt{V}$$

- Angle of rotation at outer cathode radius

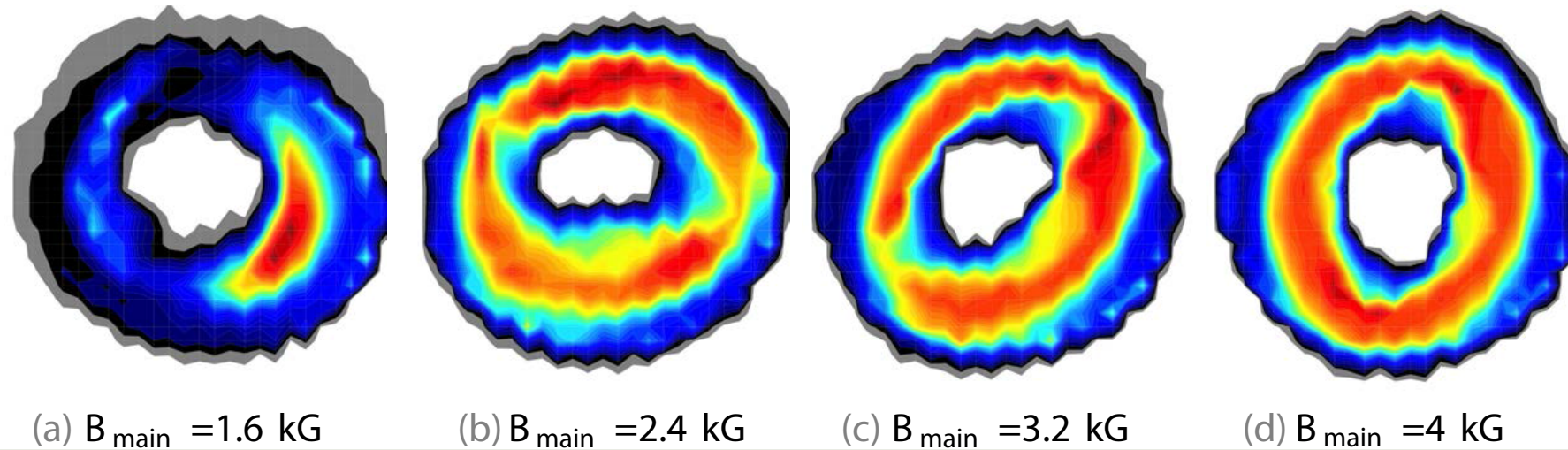
Angle of rotation [°]	Acceleration Potential [kV]							
Magnetic Field [T]	1	2	3	4	5	6	7	8
0.40	72	101	124	143	160	175	189	202
0.32	89	127	155	179	200	219	237	253
0.24	120	169	207	239	267	292	316	337
0.16	179	253	310	358	400	438	473	506

# Beam Evolution

Profile evolution with  $V$  at  $B = 0.8-3.2-0.8$  kG



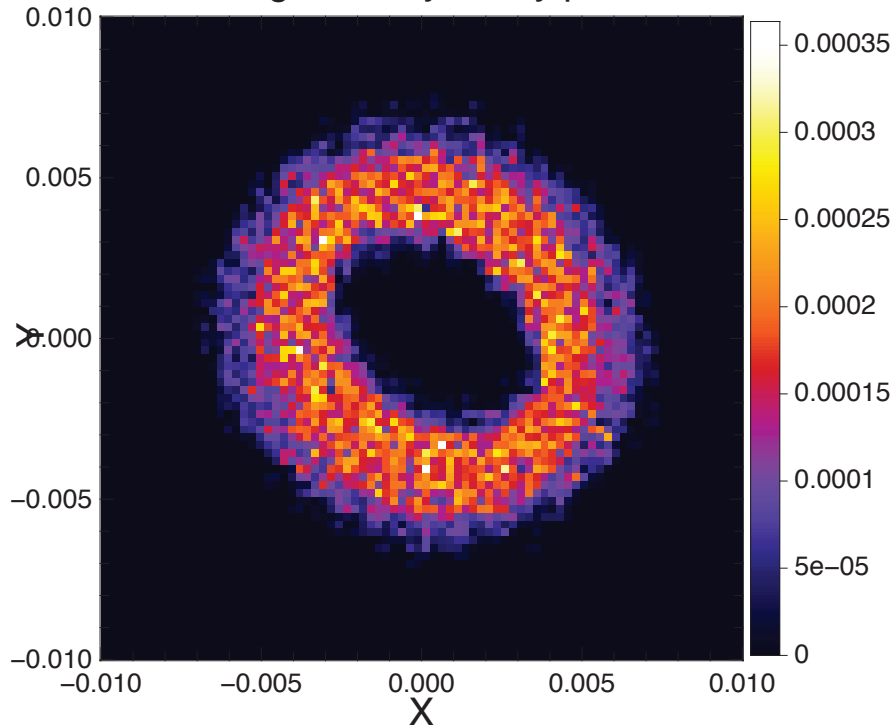
Profile evolution with  $V$  at  $B = 0.8-3.2-0.8$  kG



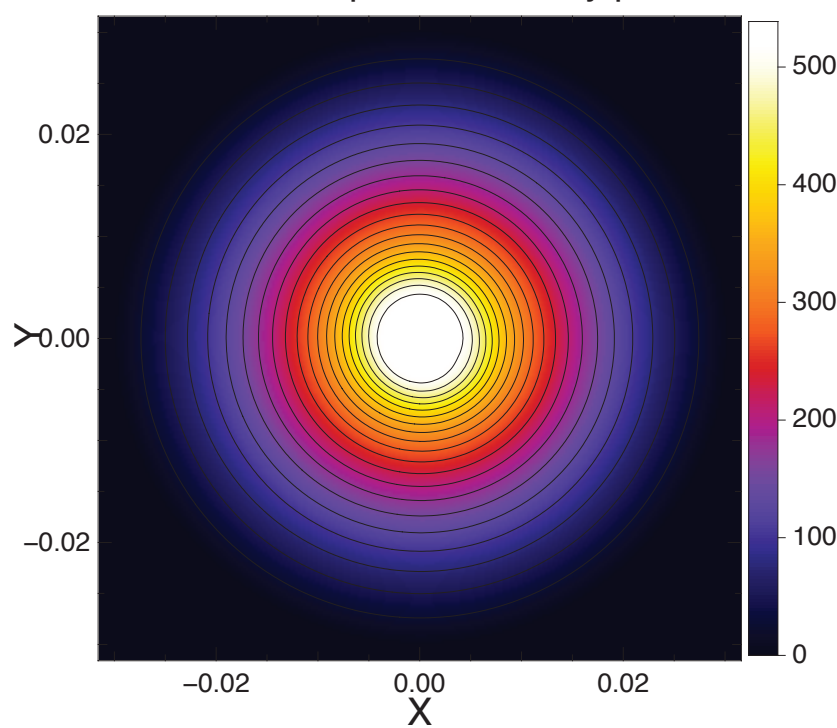


# Transverse Electric Fields at 500 V

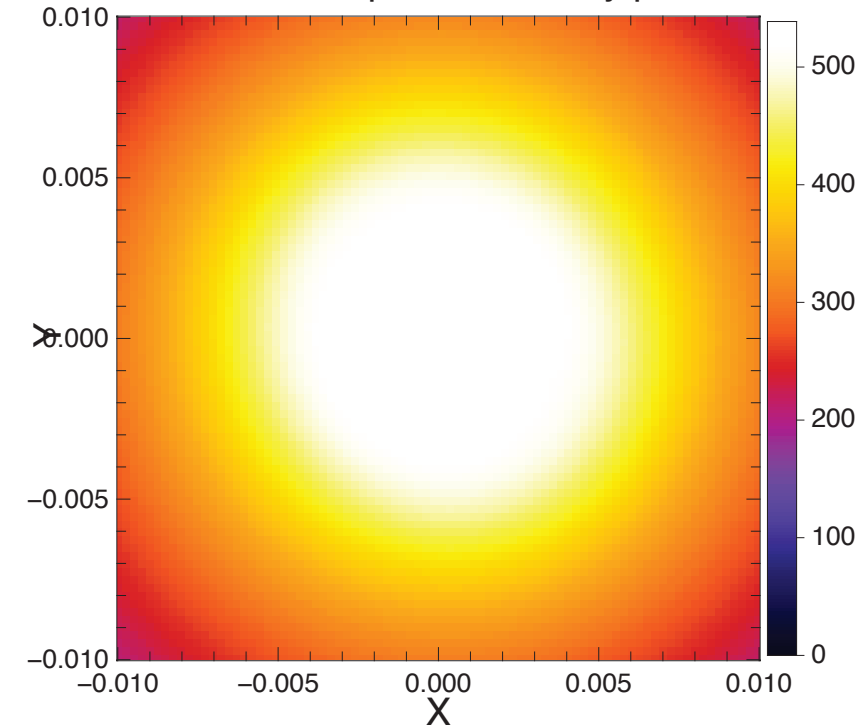
Charge density in x-y plane



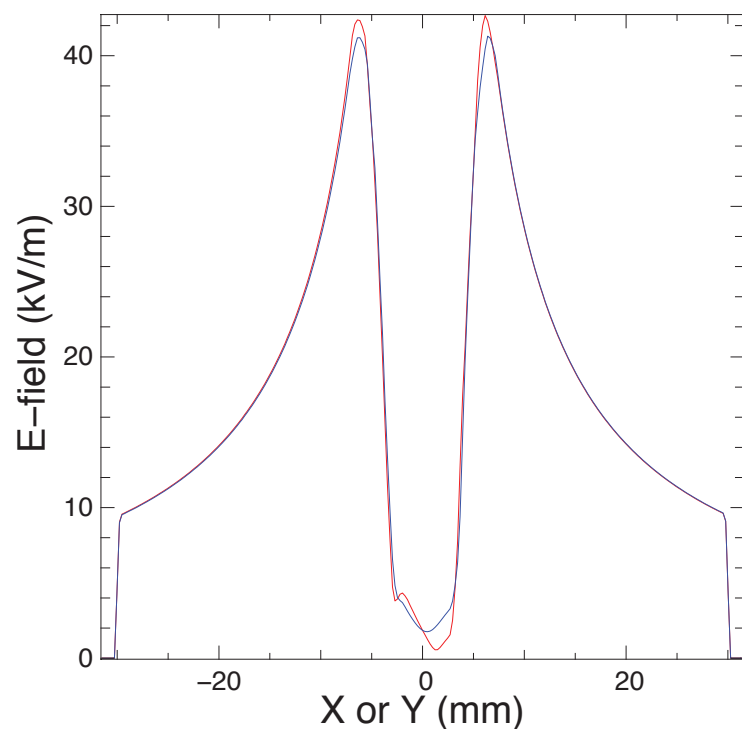
Electrostatic potential in x-y plane



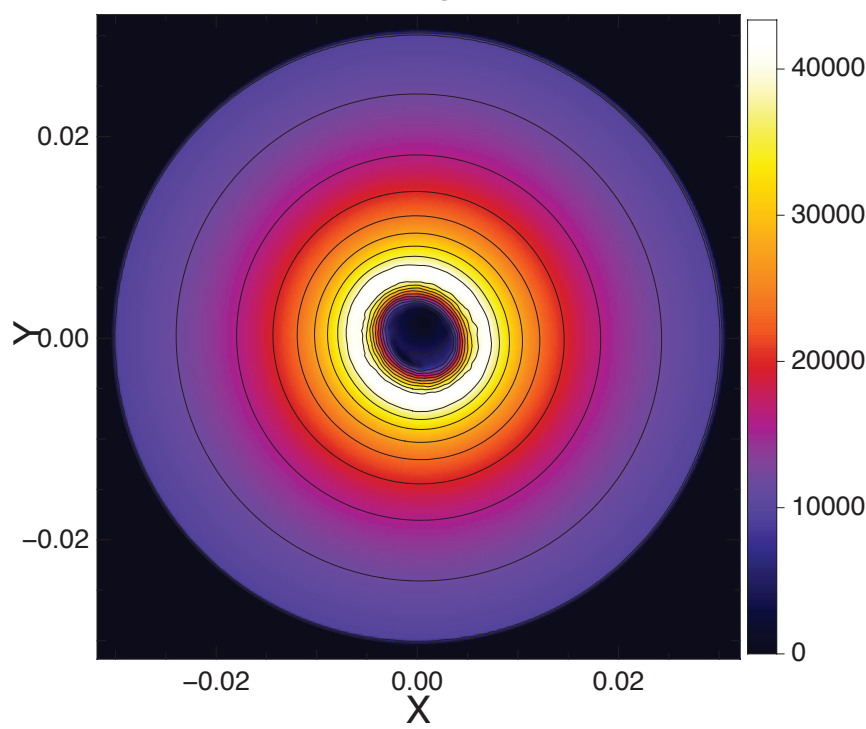
Electrostatic potential in x-y plane



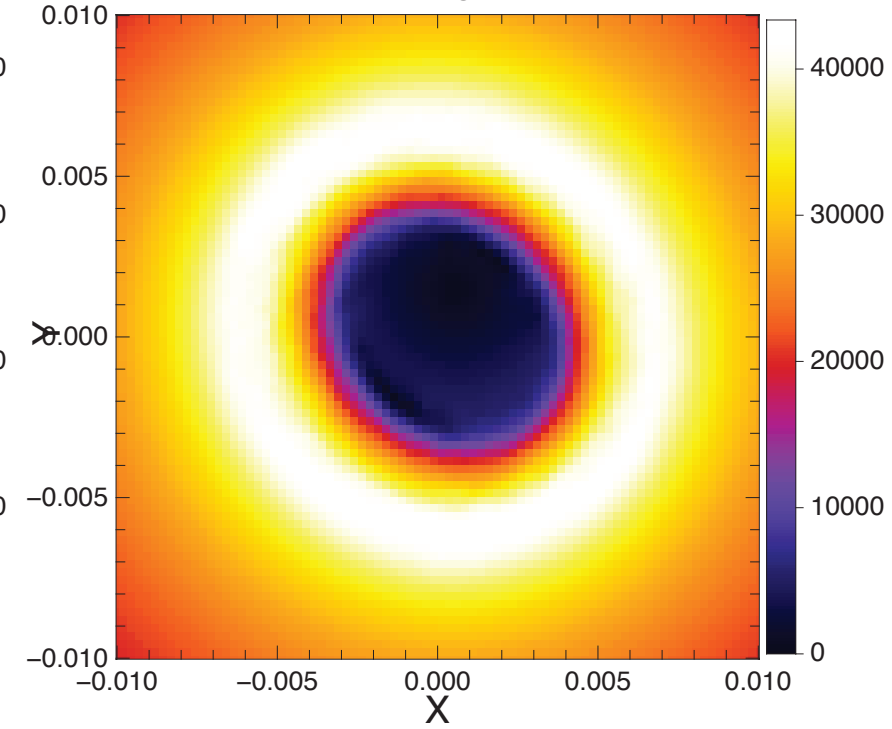
E-field vs X (blue) and Y (red)



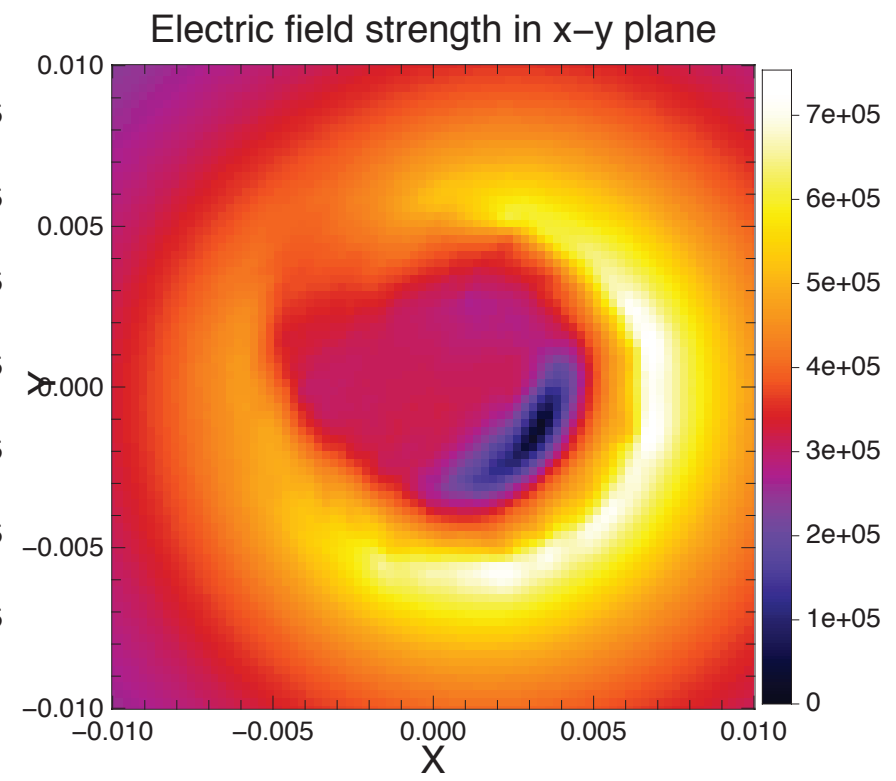
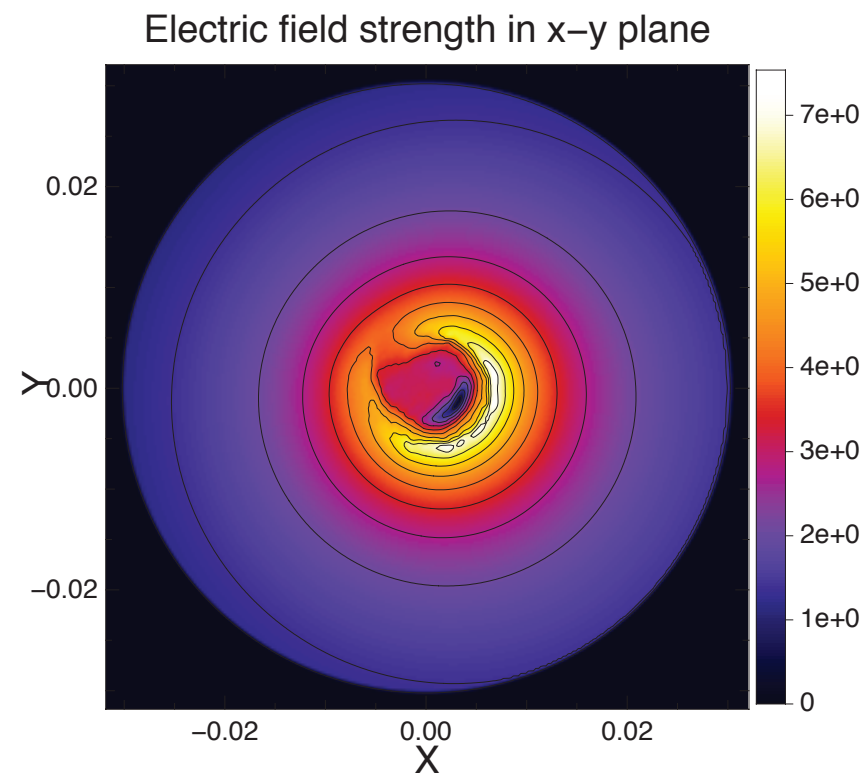
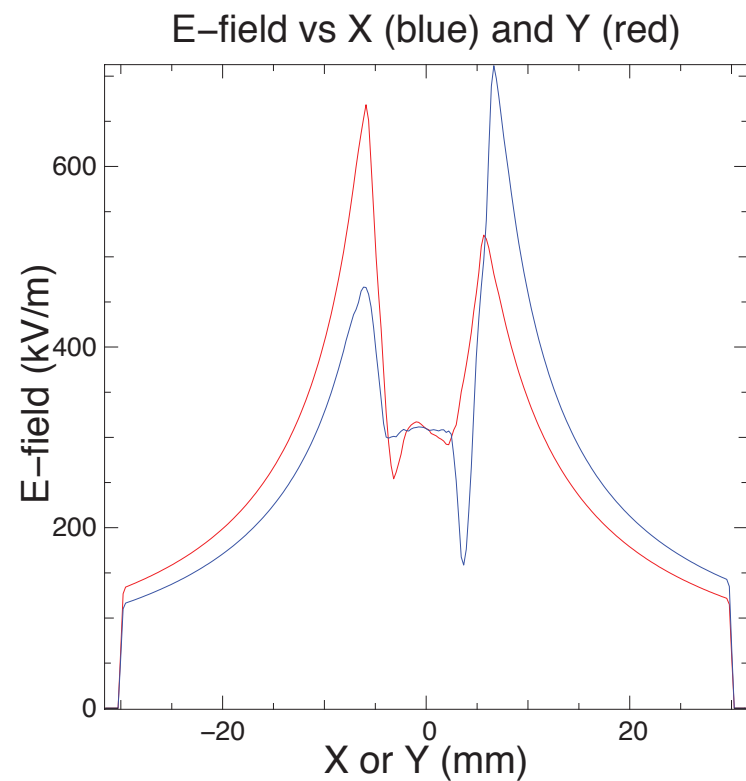
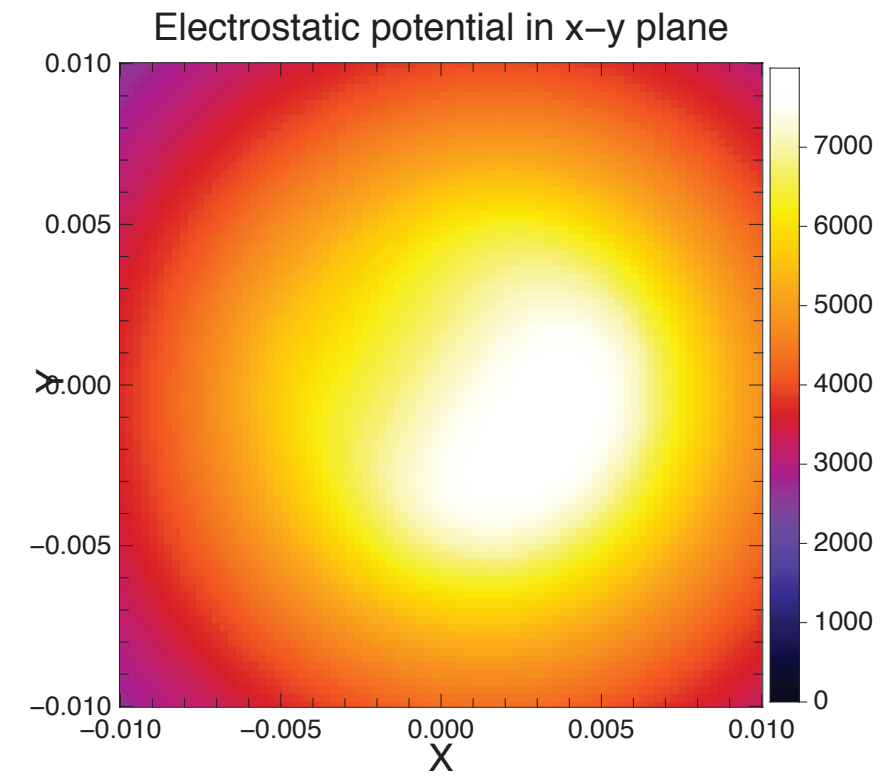
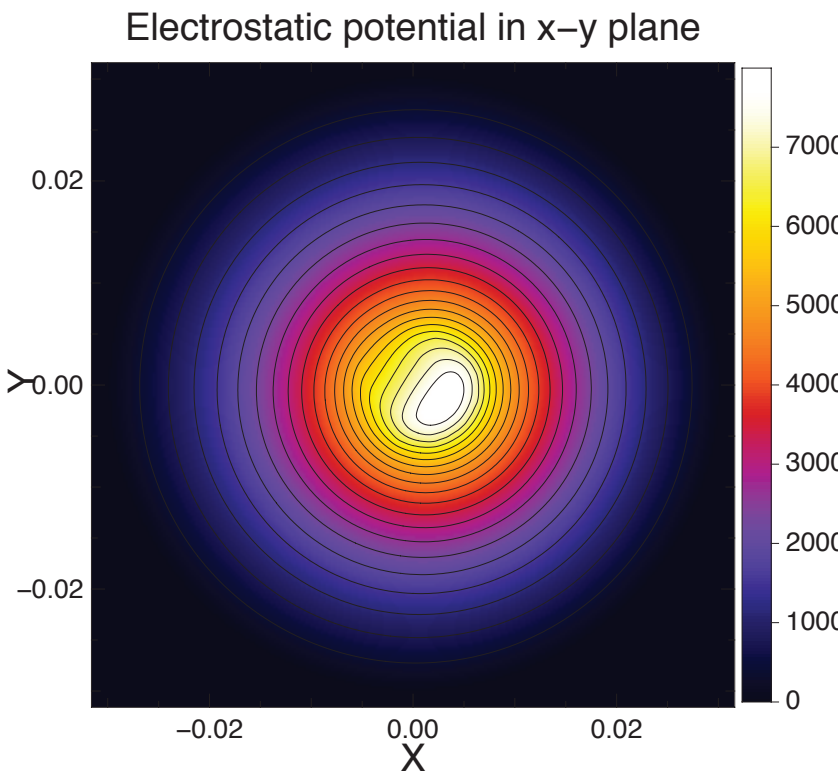
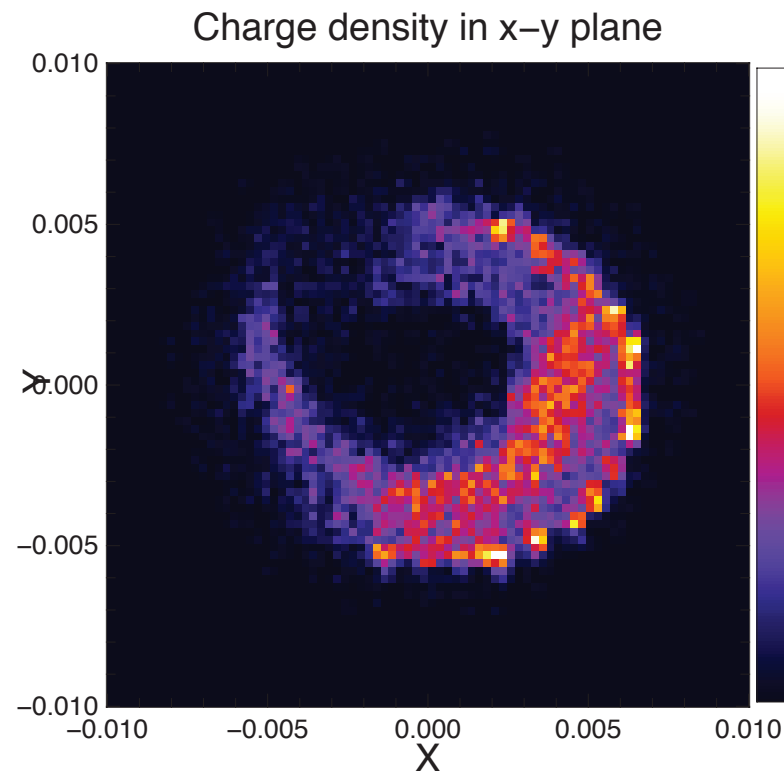
Electric field strength in x-y plane



Electric field strength in x-y plane



# Transverse Electric Fields at 8 kV



# Derivation – Angle of Rotation

$$\omega_p^2 = \frac{q^2 n}{\epsilon_0 \gamma^3 m} (1 - \gamma^2 f_e) \quad \omega_r = \omega_D = \frac{\omega_p^2}{2\omega_c} = \frac{ne}{2\epsilon_0 B} \quad (1)$$

$$\omega_r = \omega_D (1 - f - \beta_z^2) \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right], \quad \forall R_i \leq r \leq R_o \quad (2)$$

$$\varphi_r = \frac{\omega_D L}{v_z} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right] = \frac{n_e e L}{2\epsilon_0 B v_z} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right], \quad \forall R_i \leq r \leq R_o \quad (3)$$

$$\varphi_r = \frac{IL}{2\pi\epsilon_0 B (R_o^2 - R_i^2) v_z^2} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right] \quad (4)$$

$$= \frac{IL}{2\pi\epsilon_0 B (R_o^2 - R_i^2) c^2 e V_a \left( \frac{(2E_0 + eV_a)}{(E_0 + eV_a)^2} \right)} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right], \quad \forall R_i \leq r \leq R_o \quad (5)$$

$$\varphi = \frac{IE_0 L}{2\pi\epsilon_0 B (R_o^2 - R_i^2) 2c^2 e V_a} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right], \quad \forall R_i \leq r \leq R_o \quad (6)$$

$$\varphi = \frac{PE_0 L}{4\pi\epsilon_0 c^2 (R_o^2 - R_i^2) e} \times \frac{\sqrt{V_a}}{B} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right] \quad (7)$$

$$= 2.7 \times 10^{-2} \frac{\sqrt{V_a}}{B} \left[ 1 - \left( \frac{R_i}{r} \right)^2 \right], \quad \forall R_i \leq r \leq R_o \quad (8)$$

# Derivation – Electric Field Equations

$$\oiint_{\partial\Omega} \mathbf{E} \cdot d\mathbf{S} = \frac{Q_{\text{encl},\Omega}}{\epsilon_0} \quad (1)$$

$$\mathbf{E} \cdot 2\pi r L = \frac{\int_0^R \rho(r') 2\pi r' L dr'}{\epsilon_0} \quad (2)$$

$$\mathbf{E} = \frac{\int_0^R \rho(r') r' dr'}{\epsilon_0 r} \quad (3)$$

$$r \leq R_o \quad \mathbf{E} = 0 \quad (4)$$

$$R_i \geq r \leq R_o \quad \mathbf{E}(r) = \frac{\rho(r^2 - R_i^2)}{2\epsilon_0 r} = \frac{IL(r^2 - R_i^2)}{2\pi v_z \epsilon_0 r (R_o^2 - R_i^2)} \quad (5)$$

$$R_o \geq r \quad \mathbf{E}(r) = \frac{\rho(R_o^2 - R_i^2)}{2\pi\epsilon_0 r} = \frac{IL}{2\pi v_z \epsilon_0 r} \quad (6)$$

# Derivation – Emittance Growth Rate

$$\frac{d}{dt}p_r = F_y = q\vec{E}_r \quad (1)$$

$$p_r = q\vec{E}_r t \quad (2)$$

$$p_z \tan(\theta) = q\vec{E}_r \quad (3)$$

$$\rightarrow \tan(\theta) = \frac{q\vec{E}_r t}{p_z} \quad (4)$$

$$\rightarrow \tan(\theta) = \frac{q\vec{E}_r L}{p_z v_z} \quad (5)$$

$$\rightarrow \tan(\theta) = \frac{\gamma E_0 q \vec{E}_r L}{p_z^2} \quad (6)$$

$$\rightarrow \tan(\theta) = \frac{E_{tot} q \vec{E}_r L}{(E_{tot}^2 - E_0^2)} \quad (7)$$

$$\Rightarrow \vartheta = \frac{E_{tot} q E_r L}{E_{tot}^2 - E_0^2} \quad (8)$$

# Derivation – Emittance Growth Rate

$$\begin{pmatrix} x_{n+1} \\ x'_{n+1} \end{pmatrix} = M \begin{pmatrix} x_n \\ x'_n \end{pmatrix} \quad (1)$$

$$\varepsilon = \gamma x_{n+1}^2 + 2\alpha x_{n+1} x'_{n+1} + \beta x_{n+1}'^2 = \gamma x_n^2 + 2\alpha x_n x'_n + \beta x_n'^2 \quad (2)$$

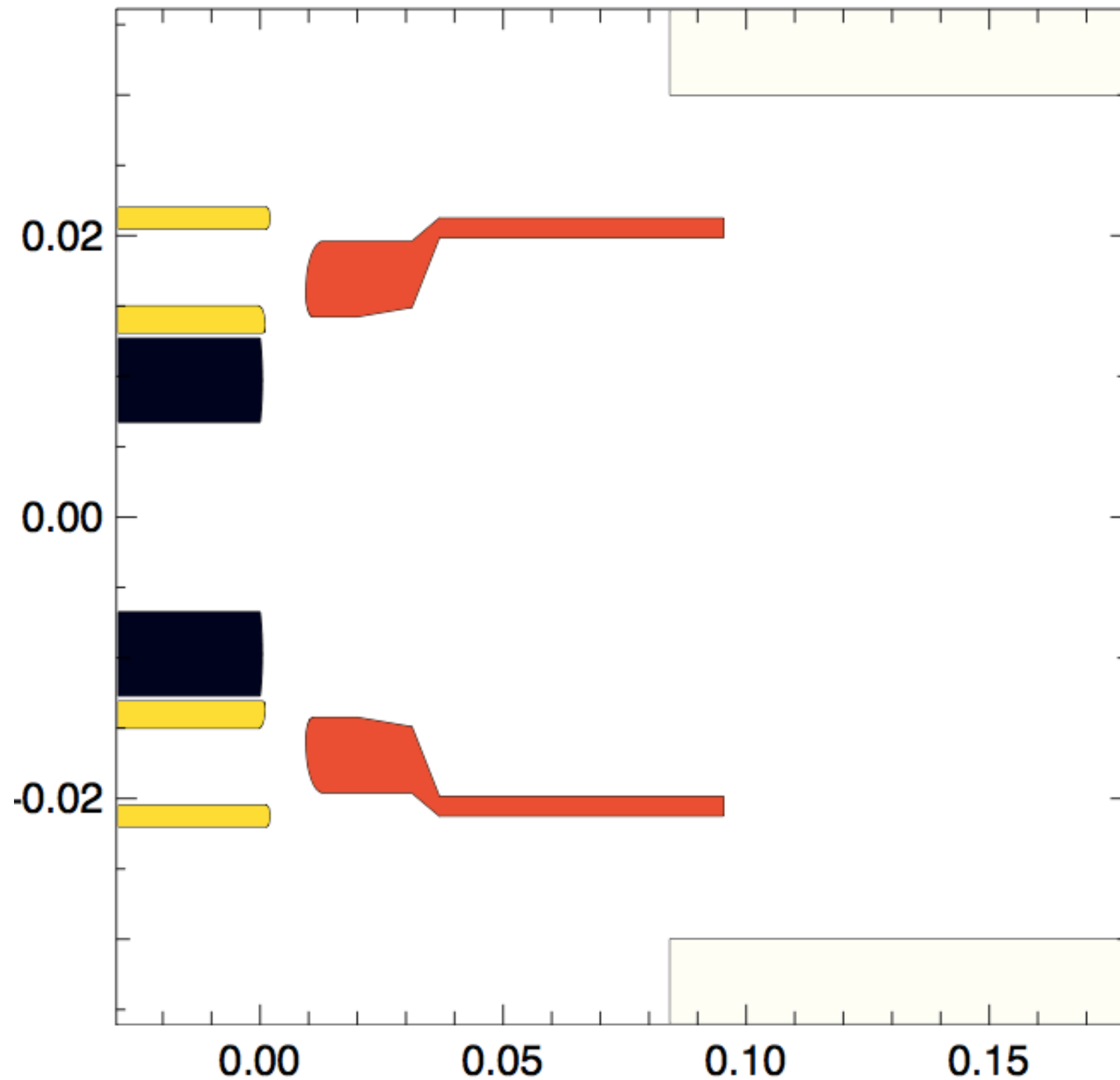
$$\begin{pmatrix} \tilde{x}_{n+1} \\ \tilde{x}'_{n+1} \end{pmatrix} = M \begin{pmatrix} x_n \\ x'_n \end{pmatrix} + \begin{pmatrix} 0 \\ \vartheta \end{pmatrix} \quad (3)$$

$$\varepsilon = \gamma \tilde{x}_{n+1}^2 + 2\alpha \tilde{x}_{n+1} \tilde{x}'_{n+1} + \beta \tilde{x}_{n+1}'^2 = \gamma x_{n+1}^2 + 2\alpha x_{n+1} (x'_{n+1} + \vartheta) + \beta (x'_{n+1} + \vartheta)^2 \quad (4)$$

$$\Delta\varepsilon = 2\vartheta(\alpha x_{n+1} + \beta x'_{n+1}) + \beta\vartheta^2 \quad (5)$$

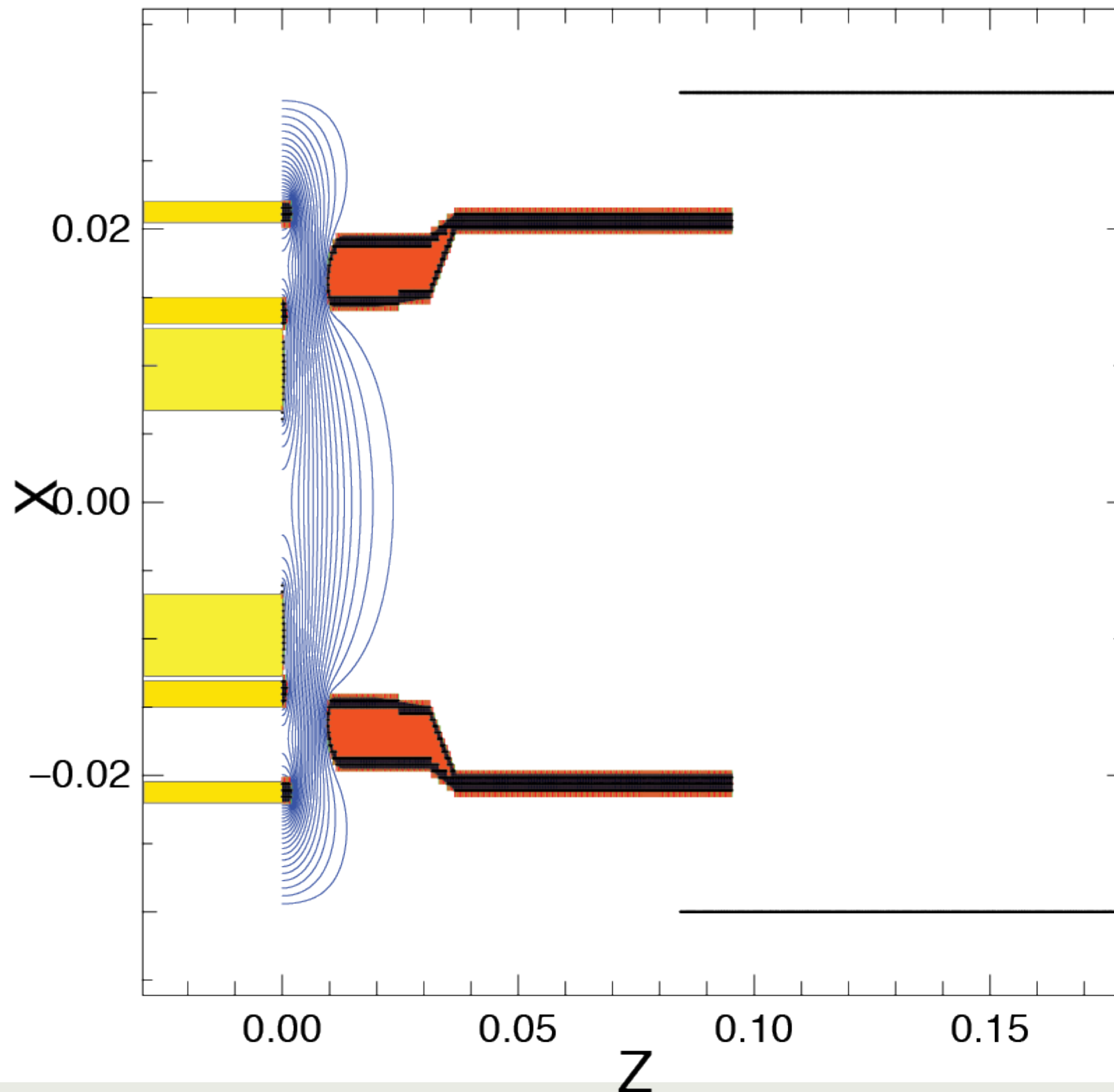
$$\Delta\varepsilon = \beta\vartheta^2 = \beta \left( \frac{E_{tot} q E_r L}{(E_{tot}^2 - E_0^2)} \right)^2 \quad (6)$$

# WARP – Implemented Gun



# WARP – Potential Fields

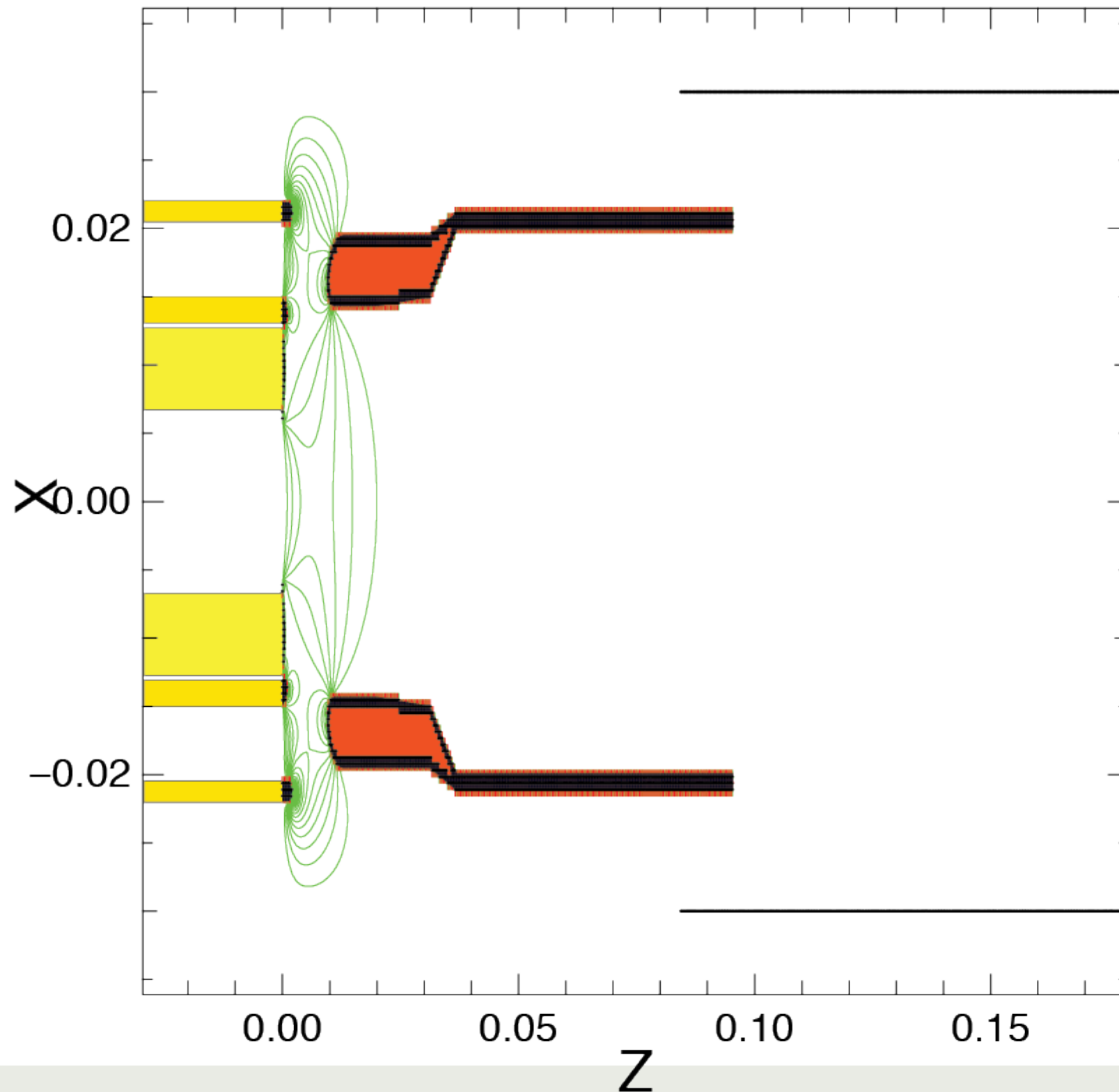
Electrostatic potential in z–x plane





# WARP – Electric Fields

$E_z$  in  $z$ - $x$  plane



# WARP – Electric Fields

Emagnitude in z-x plane

